



## Profiling evolutionary landscapes underlying drug resistance

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# Profiling evolutionary landscapes underlying drug resistance.

PhD Thesis: Rachel Amanda Hickman  
14<sup>th</sup> February 2017

Novo-Nordisk Foundation Center of Biosustainability  
Technical University of Denmark

Profiling evolutionary landscapes underlying drug resistance

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# Preface

This PhD thesis was prepared at Novo Nordisk Foundation Center for Biosustainability at the Technical University of Denmark (DTU) in fulfillment of the requirements for the PhD degree. Research work was performed both at the Centre for Systems Microbiology (2014-2015) and at the Novo Nordisk Foundation Center for Biosustainability (2015-2017).

The work was carried out under the supervision of Professor Morten Sommer (Supervisor) and Professor Søren Molin (co-supervisor) and funded by a PhD stipend from DTU.

14<sup>th</sup> February 2017



Rachel Amanda Hickman

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There are many people I would like for making my time through my PhD enjoyable and do-able.

Firstly, and most importantly, I would like to thank **Morten** for giving me this opportunity to this PhD and allowing me to research cool and exciting science and pushing me outside my comfort zone and **Søren** for his support when I was overwhelmed.

I would also like to thank **Christian** for guiding me and supervising my as a new lost PhD student and **Andreas** his partner in crime that has help on many occasions, with R and the Danish abstract within this PhD thesis! I would also like to thank **Lejla** for providing DNA extracts that formed the pilot study for the second manuscript, **Helle** for her depth reading and guidance of this manuscript and **Jakob** for his tolerance and help in this project. I would also like to thank **Lumeng** for helping on the crazy FACs project and tips on *Pseudomonas aeruginosa* PCR!

There are so many people from the Sommer lab group that I'm also grateful for such as **Gitte** for group organization and oracle of the tem system, **Mari** for finding obscure lab items, PhD comradery from the Sommer PhD office (**Ruben**, **Micheal**, **Kira**, **Gonzalo** and un-officially **Christian N**) and other members of the **Sommer** lab group both past and present as well as other **CFB** members.

Finally, I'm also very grateful for the support from my family and my partner Erik and would like to dedicate this PhD thesis to them as without them it would be impossible.

**Tusind tak!**

# Abstract

Bacteria have existed on earth for 3.5 billion years and their ability to evolve has allowed for their survival in almost all global niches. Bacteria evolve and adapt easily due to their short generation times, plastic genomes, acquisition (external) DNA and their ability to form protective bacterial communities i.e. biofilms or dormant metabolic states.

Antibiotic drugs are currently our best medicine to treat (against) bacterial pathogens due to antibiotics unique properties of being small molecules that are soluble and act systemically. These qualities allow for many modern medical procedures to occur due to antibiotics preventative/ prophylactic and therapeutic qualities.

Despite bacterial antibiotic resistance mechanisms always being present in nature, the overuse and misuse of antibiotics by humans are accelerating the rise and dissemination of bacterial antibiotic resistance. Bacterial antibiotic resistance is global threat to public health; especially because of lack of new drugs. It has been highlighted that understanding antibiotic resistance by further elucidating mechanisms of evolution, molecular mechanisms of action and reservoirs of resistance are essential. Therefore, the work involved in this PhD thesis, examines the evolution of antibiotic resistance in bacterial populations.

Two main studies were performed: the first to elucidate the molecular mechanisms of collateral sensitive drug pairs and collateral resistance drug pairs in adaptation of *Escherichia coli* populations; and the second exploring mutant variant dynamics in cystic fibrosis lung, by analyzing sputum samples from chronic carriers of *Pseudomonas aeruginosa* undergoing antibiotic treatment.

Both studies explore the trajectories of antibiotic resistance within bacterial populations: the first study by exploring antibiotic resistance loci, and the in the second by whole-gene sequencing. The desired outcome from both studies is to find methods to use antibiotic therapy more rationally to treat infection efficiently and effectively whilst reducing the evolution of antibiotic resistance.

## Dansk resumé

Bakterier har eksisteret på jorden i 3,5 millioner år, og i den tid har deres evne til at udvikle sig tilladt deres overlevelse i stort set alle tænkelige nicher. Bakterier udvikles og tilpasses let på grund af deres korte generations-tider, plastiske genomer, erhvervelsen af eksternt DNA samt deres evne til at danne beskyttende bakterielle samfund dvs. biofilm.

Antibiotika er i øjeblikket vores bedste medicin til behandling af bakterielle patogener på grund af dets opløselighed systemiske virkning. Disse kvaliteter er vitale for mange moderne medicinske procedurer på grund af antibiotikas profylaktiske og terapeutiske egenskaber.

På trods af at antibiotika resistensmekanismer altid har været til stede i naturen, har samfundets overforbrug og misbrug af antibiotika fremskyndet stigningen og formidling af bakteriel antibiotikaresistens. Bakteriel antibiotikaresistens er en global trussel mod den offentlige sundhed; især på grund af manglen på nye lægemidler. En grundigere forståelse af antibiotikaresistens ved yderligere at belyse evolutionsmekanismer, molekylære virkningsmekanismer og reservoirer er afgørende. Derfor belyser dette PhD-arbejde udviklingen af resistens i bakterielle.

To hovedundersøgelser blev udført: den første bestod i, yderligere at belyse de molekylære mekanismer i antibiotikapar som indbyrdes forstærker eller svækker resistensevolution i *Escherichia coli* populationer. Det andet studie udforsker mutantvariant dynamik i CF lunge-prøver fra kronisk *Pseudomonas aeruginosa* inficerede cystisk fibrose patienter under igangværende antibiotisk behandling på hospitalet. Begge undersøgelser udforsker udviklingsveje for antibiotikaresistens i bakterielle populationer: det første studie ved at udforske kendte antibiotikaresistensmutationer i genomet, og det andet ved sekventering af hele gener involveret i resistens. Det ønskede resultat fra begge undersøgelser er at finde metoder til at bruge antibiotika, der både behandler patogen effektivt og samtidig hindre udviklingen af antibiotikaresistens.

# List of Publications

This thesis is based on the following papers, which are referred to in the text by their Roman numerals.

- I. **R.A. Hickman**, C. Munck and M.O.A Sommer. Time-resolved tracking of mutations reveals strong clonal interference during antimicrobial adaptive of *Escherichia coli* to single and drug pairs. (Currently in interactive review to Frontiers in Microbiology)
- II. **R.A. Hickman**, J. Frimodt-Møller, E. Rossi, H.K. Johansen, S. Mølin and M.O.A. Sommer. Direct sequencing of *Pseudomonas aeruginosa* from sputum of cystic fibrosis patients undergoing antimicrobial therapy. (Manuscript in preparation).



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# Abbreviations

ALE	Adaptive Laboratory Evolution
AMK	Amikacin
CHL	Chloramphenicol
CIP	Ciprofloxacin
EUCAST	The European committee on antimicrobial susceptibility testing
HGT	Horizontal gene transfer
IC	Inhibitory Concentration
I	Intermediate antimicrobial susceptible type
INDELs	Insertion or deletions sequences
MDR	Multi-drug resistance
NGS	Next generation sequencing
ROS	Reactive oxygen species
R	Resistant antimicrobial susceptible type
SNPs	Single nucleotide polymorphisms
S	Susceptible antimicrobial susceptible type
WGS	Whole genome sequencing
WHO	World Health Organization

# Outline of PhD Thesis

This thesis is composed of 5 chapters: Bacterial Evolution, Antibiotic drugs, Profiling and Exploring Drug Treatment and Resistance, Applications of Profiling of Drug Treatment and Resistance, and Concluding Remarks and Future Perspectives.

Each chapter composes of a short introduction, to provide the overview of the importance of the chapter, followed by sub-chapters. Within each of the sub-chapter's ideas, theories and links to scientific literature are given and then summarized with how this applies to the to the research work done in this PhD time-period.

# Bacterial Evolution

Within most natural environments a diverse consortia of bacteria species exist. Following the evolutionary laws of nature, bacteria have adapted to a variety of physiological demands such as pH [1], osmotic pressures [2], temperature [3], nutrient availability [4] and chemical perturbations like disinfectants [5], metal ions [6] and antibiotics [7]. Bacteria have several evolutionary constraints e.g. asexual reproduction that limits chromosomal genomic recombination and a high codon bias genome of ca. 90% [8]. Despite these constraints, bacteria can easily and rapidly evolve due to their short generation time (e.g. *E. coli* K-12 sub-strain MG1655 in Luria-Bertani broth at 37°C has a doubling time during steady-state of 20 minutes [9]), their large population size capability (e.g. up to  $2 \times 10^9$  CFU/mL [10]), their rate of spontaneous mutation (e.g.  $\sim 0.03$  per genome per replication [11]), and their genetic exchange between taxa [12]). These advantageous characteristics promote both anagenesis, which allows evolution within the bacterial species population, and cladogenesis, which allows new bacterial species to develop in different biological niches [13].

## Processes that allow bacterial genomic modification

To accomplish these abilities, bacteria rely on mechanisms to acquire novel genetic information and manipulate their genome. This achieved by three main processes: bacterial transduction, transformation and *de novo* mutations. The first two processes occur by horizontal gene transfer (HGT), which unlike other forms of life defy species specific boundaries. Therefore, a microbial habitat can quickly possess abilities to defy the abilities of given antimicrobials, metal ions and disinfectants. An infamous example of this is the CTX-M-15 plasmid that confers resistance to antimicrobials, metal ions and biocides [14]. Furthermore, it is easily disseminated in Enterobacteriaceae and as a consequence several clinical outbreaks have occurred [15–17]. *De novo* mutations, however, occur intrinsically within a cell and if remain uncorrected by cellular correction systems these mutations will be passed on to its future daughter cells. As mentioned earlier HGT resistance mechanisms defy species specific boundaries. It could therefore be easy to assume that more scientific effort should be concentrated on HGT resistance

mechanism rather than *de-novo* mutational resistance mechanisms, however both play an integral role in adaptation evolution. For some bacterial species – such as *P. aeruginosa* in chronically infected cystic fibrosis patients [18,19] and *Mycobacterium tuberculosis* where HGT [20] – evolution is generated mainly or solely by the latter mechanism. Therefore, scientific research in both types of acquired resistance are important, especially when it comes to understanding bacterial evolution in a wide range of organisms and perturbations.

With the research involved in this PhD doctorate, I have looked into the types of *de novo* mutations that occur in the bacterial population. *De novo* mutations are a result of genomic instability. Genomic instability is induced by the constant threat that bacterial cells are exposed to, such as operations of their DNA replication and repair systems, mobile elements, phages, chemical entitles and environmental factors [21]. *De novo* mutations manifest themselves as point mutations, genomic rearrangements or translocations, and can be inherited to future prodigy if remained un-corrected by cellular repair systems. Point mutations, or single nucleotide changes (SNPs), can induce three types of amino acid coding changes. The effects of these changes are silent, when no effects are observed to coding amino acid, missense, where a different amino acid is coded for and is also referred to as a non-synonymous change, and nonsense mutations, which encodes for a stop-codon that terminates the transcription of the RNA prematurely. Genomic rearrangements are induced by insertion or deletions sequences (INDELs) or the combination thereof, amplifications, or translocations. The result of INDELs in the genome cause change to the encoded amino acid sequence of a transcribed mRNA strand by either the addition or subtraction of codons or by causing frame shifts to encode entirely different amino acids. The results of gene duplication are common in both bacteria and eukaryotes [22]. A possible model for gene duplication is the innovation-amplification-divergence model. In this model an ancestral gene has a weak secondary activity, and in a given favorable selection pressure a cell that duplicate this gene create a different gene from the ancestor by genetic modification [23]. From the research work performed during this PhD, my main focus was on missense and nonsense mutations SNPs, INDELs and possible gene duplication events that occur during antibiotic adaptation or during antibiotic treatment.

## Evolutionary theory: Neo-Lamarckism versus Neo-Darwinism?

Genomic modifications which lead to bacterial phenotypes that are better suited to a given environment are essential for bacterial evolutionary development, but which evolution hypothesis that induce these effects is uncertain. Therefore, the theory that best answer the evolutionary development process is under scientific debate again, as the impact of understanding process that lead to genomic stability play an important role in curbing antibiotic resistance.

The two main theories are Neo-Lamarckism and Neo-Darwinism. The Neo-Lamarckism theory assumes that external environmental cues causes individuals to change their phenotype in response. Individuals that are successful in changing their phenotype to adapt will pass this on to their future progeny. The Neo-Darwinism theory follows that the basic unit of evolution is a population, where genomic variation occurs by random genetic drift and selection for given phenotypes is driven by environmental factors. Evidence for both theories has been produced by the use of next generation sequencing (NGS) and other typing methods. Direct evidence for bacterial Neo-Lamarckism can be found in the CRISPR-cas system, where integration of small segments of HGT DNA into specific loci allows for host defense (Koonin and Wolf 2009). Most *de-novo* antibiotic resistance is nonetheless assumed to be the result of Neo-Darwinism. This is seen in bacterial populations where contact with sub-lethal concentrations of antibiotics generates random mutants either by inducing direct effects (e.g. bleomycin inducing double-strand DNA breaks [24]) or in-direct effects (e.g. ampicillin downstream production of reactive oxygen species (ROS) that incudes DNA damage [25]). These mutations establish themselves in the population and can be fixed or lost in the bacterial population by clonal interference. However, a quasi-Lamarckism mechanism coupled with neo-Darwinian mechanism, permits bacterial cell to adapt to various environmental perturbations by allowing a regulation of genomic instability to occur followed by natural selection [26]. The quasi-Lamarckism mechanism was first suggested by Radman, circulated privately in 1970, where she states that “‘SOS-replication’ mechanism can be induced by a variety of mutagenic treatments, which cause inhibition of ‘vegetative’ DNA replication...This replication mechanism is an inaccurate, mutation-prone process” (Embedded in [27]). Interestingly many antibiotic drugs classes used in the experimental work of this PhD thesis also induce SOS- replication response. The drug classes that induced these effects are: aminoglycosides [28], chloramphenicol [29] and fluoroquinolones [30]. Therefore, it is interesting to note that, sub-lethal exposure to antibiotics can induce DNA damage and trigger genomic instability that therefore induced genetic diversity of microbial pathogens

(Shapiro 2015). Despite none of these quasi-lamarckian mechanisms being validated experimentally in this PhD thesis, the neo-Darwinian mutations I observe could also be a result of the antibiotics inducing genomic instability facilitated by quasi-lamarckian mechanisms. Therefore, this is something I would like to return to in the concluding remarks and future perspectives.

## Bacterial population adaptations to novel environmental challenges

For bacteria populations to adapt to a new environmental challenge or perturbation, novel mutation cells must occur, reproduce in quantities as not to be lost by random genetic drift. This process is known as bacterial mutant allelic establishment, where a sub-population of this clone type develop within a population. If this mutant allelic sub-population is able to take over the population and dominate 100% of the population this is known as fixation.

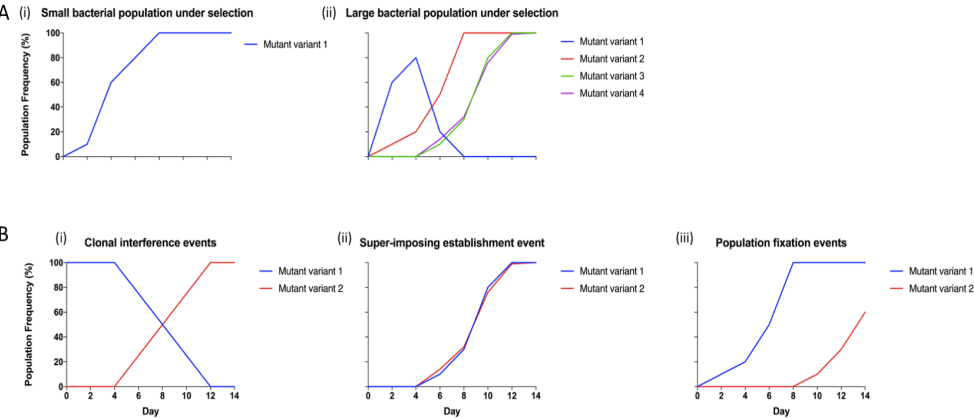
Two variables govern a bacterial population's ability to survive a new environmental challenge these are: the range of beneficial mutations that are able to be produced in the population and the size of the population [31]. In a small bacterial population, the range of beneficial mutants is less, therefore that population has less likelihood of surviving is also less [32]. However, if a beneficial mutation allelic sub-population does arise and become established it has an almost certain probability of fixing in the population. This is opposite in larger population due to the range of beneficial mutations being higher (e.g. clonal divergence), so likelihood of surviving is also higher. However, the beneficial mutation allelic sub-population or clone has less chance of becoming fixed in the population. This is due to clonal interference, where two novel genotypes or more compete with each other to establish themselves within the population [33]. Different genotypes can occur in different genetic backgrounds within the population, therefore the large the bacterial population, the higher diversity in bacterial genetic background and novel beneficial mutational allelic types [34]. All these characteristics play an important role in evolution population mutagenic allelic dynamics, where periodic selection of various clones of mutant alleles occur. The overall result of clonal interference in a large population acts as a natural selection process that selects beneficial mutations that has the largest effect at the smallest fitness cost, known as periodic selection [35].

The work I presented in this PhD thesis explores the characteristics of evolution of bacterial population mutagenic allelic dynamics by time-resolved tracking known mutagenic loci in *in-vitro* antibiotic *E. coli* adaption (manu-



script I) or in known antibiotic genes of *P. aeruginosa* of chronically infected cystic fibrosis patients under going 14 days of antibiotic treatments (manuscript II). From these results, I see in the bacterial populations strong evidence of clonal divergence, clonal interference and periodic selection, however by the methods use I can not confirm the genetic backgrounds these beneficial mutations and can not confirm any historical contingency events. Interactions I see within my populations are summarized in (Fig 1).

**Fig 1. Summary of generalized population mutant variant interactions** (A) Population size effect (i) small bacterial population under selec-



tion, (ii) large bacterial population under selection. (B) Mutation variant interactions (i) clonal interference events, (ii) super-imposing establishment events, (iii) population fixation events.

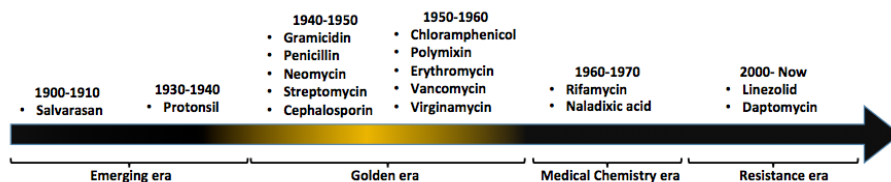
# Antibiotic drugs

The discovery and use of antibiotic drugs allowed the birth of modern medicine to occur by allowing medical procedures to be performed whilst reducing the risk of pathogenic bacteria. Antibiotic drugs are currently our best medicine to treat against bacterial pathogens due to antibiotics' unique properties of being small molecules that are soluble and act systemically [36]. Bacteria have a tremendous ability to evolve and develop genotypes to overcome antibiotic drug effects, and when this occurs in invading bacterial pathogens they cause mortality and morbidity. It is essential to understand where antibiotic drugs come from, what classes of drugs we have, how bacteria become resistant to these drugs and how we can counteract the effects of antibiotic resistance.

## Discovery of antibiotics

The potential of antibiotics was first demonstrated following the discovery and use of salvarsan, which was used in the first antibiotic drug trial of late stage syphilis patients and where impressive outcomes were observed [37]. Antibiotic discovery and use was further invigorated by the discovery of penicillin from a contaminated agar plate by Alexander Flemming [38]. Following the purification steps developed by Florey and Chain, the medicinal use of penicillin came into fruition[39]. The importance of this discovery was that microorganisms themselves had the ability to produce small chemical compounds that inhibited or killed other microorganisms. This led to the Golden era of antimicrobial discovery with most success being discovered by the Waksman antimicrobial discovery platform [40]. Following the boom in antibiotic discovery it was naively believed that chemistry would be able to produce novel antimicrobials. This approach generated fewer effective novel antimicrobials than expected at the time, but did generate better formulations, drug penetrations and drug delivery [41]. The impact of this created the antibiotic discovery deficit (Fig 2), and – coupled with the rise in antibiotic resistance – there is now a strong emphasis to discover novel antibiotics but also to further our understanding of our current antibiotics to optimize their use. The research involved in this PhD thesis of profiling drug resistance, in both the laboratory and from the clinical setting, aims at under-

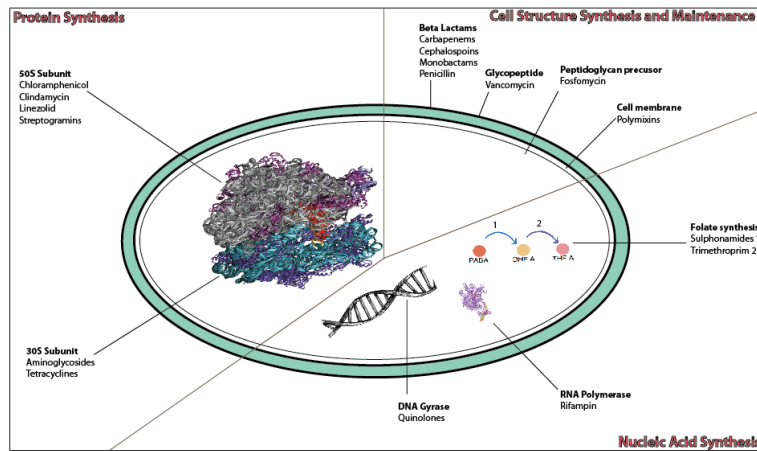
standing bacterial antibiotic resistance evolution with the long-term goals of using antibiotics more effectively.



**Fig 2.** Time-line of antibiotic drug introduction date

## Antibiotic Classes

To further understand our current antibiotic drugs it is important to know their chemical structure, as drugs that have the same structural class tend exhibit similar traits of effectiveness, toxicity and allergy potential [40]. Using structural chemistry to classify antibiotic drugs allows us to construct a generalized view of which antibiotic classes disrupt particular biological processes in the bacterial cell (Fig 3). Overall antibiotics disrupt three biological structures and synthesis processes essential for cell growth and maintenance. These are cell structure synthesis and maintenance, nucleic acid synthesis and protein synthesis. Further categorization can be done on the antibiotic's other traits, such as their bacterial effect (i.e. bactericidal or bacteriostatic), the type of pathogens they target (i.e. Gram negative or positive or both), the spectrum of pathogens they target (i.e. narrow or broad-spectrum) and their pharmacological abilities. The classification and antibacterial traits of all antimicrobials are vital to know to be able to utilize these drugs to their maximum efficacy.

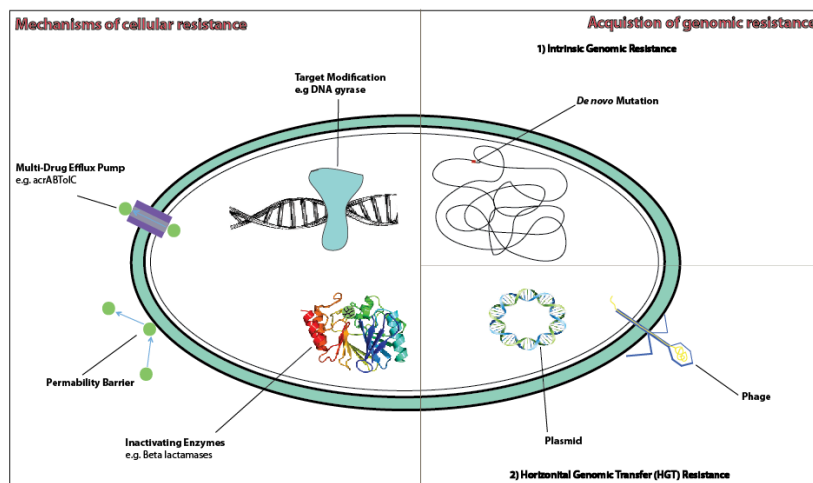


**Fig 3.** Generalized view of biological processes disrupted by different antibiotic classes. Top left are antibiotics that disrupt protein synthesis, top right is antibiotics that disrupt cell structure and maintenance and bottom right antibiotics that disrupt nucleic acid synthesis.

## Antibiotic Resistance and Persistence

As previously mentioned bacteria have a tremendous capacity to evolve and overcome several survival limiting environmental factors. It is therefore no surprise that they also evolve to resist antibiotics. Antibiotic resistance from a bacteriologist perspective is the genetic adaptation of bacterial cells, which permits genetic altered cells to grow and divide in the presence of antibiotic concentrations that would normally kill or inhibit unaltered cells. Antibiotic resistance from a clinical perspective is related to the drug concentration tolerance and pharmacokinetics of the patient and the effects on the bacteria at this concentration [42,43].

Bacterial cells can alter their genome by acquiring plasmids (such as the CTX-M-15 plasmid disseminated in Enterobacteriaceae species [17]); by acquiring novel genomic material via viral vector (such as phages within the microbiome [44]) and by *de-novo* mutations (such as mutations observed in cystic fibrosis patients chronically infected with *P. aeruginosa* [45–47]). Despite the origins of the genomic antibiotic resistance adaptations, bacterial cells use four different types of antibiotic resistance mechanisms to evade drug effects. These mechanisms are: induction of multi-drug resistance (MDR) efflux pumps that expel the antibiotic drug from inside the cell; modification of the drug-target that prevent drug-target complexes forming; membrane modification that reduce permeability of the drug in to the cell; and production of enzymes that degrade the drug (Fig 4).



**Fig 4.** An overview mechanism of cellular resistance (on the left) and acquisition of antibiotic genomic resistance (on the right)

Examples of most of these molecular antibiotic resistance mechanisms are present within the two manuscripts presented at the end of this PhD thesis. For instance, in manuscript I there was induction of the *acrAB-TolC* efflux pump up-regulated in drug adapted bacterial populations by genomic modifications in the *acrR*, *marR*, *rob* and *soxR* genes. In manuscript II there was mutations that conferred up-regulation of the *mexXY-oprM* efflux pumps by genomic modifications in the *mexS*, *mexT* and *mexZ* genes. Whereas there was reduced permeability of drug in manuscript I, where mutations in the *cpxA* and *sbmA* genes confer AMK resistance. In both manuscripts it was shown mutations that confer molecular antibiotic resistance by drug-target modifications. In manuscript I these mutation were observed in *gyrA* and *fusA*, and in manuscript II these were *ftsI*, *gyrA*, *gyrB*, *parC*, *ftsI*, *rpoB*.

Usually most mutational antibiotic resistance variants come at a cellular fitness cost, due to the modification of essential cellular components. Therefore it has been assumed that if there was no antibiotic selection, antibiotic resistant variants would disappear [48]. Selection of resistant mutants can nonetheless occur at exceptionally low antibiotic concentrations, as demonstrated in the competition experiments performed by Gullberg et al. 2011. It is also important to state that some mutations to antibiotic agents come at little to no fitness cost and can easily be maintained within a population with no selection. Although most mutations do come at a fitness cost, these bacterial cells undergo further evolution to develop compensation mutations that restore fitness [49]. An example of this is demonstrated in Markusson et al 2009 work. Consequently, if sufficient time has allowed for bacteria to develop both antibiotic resistance mutations and compensation mutations, then simply eliminating the use of antibiotics will not rectify the situation. Hence, systematic approaches to understanding antibiotic resistance development

and methods to exploit it in antibiotic treatment are essential and will be discussed in the chapter application of profiling drug resistance.

From either a bacteriologist or clinical perspective, the best measurement of antibiotic resistance is using Minimal Inhibitory Concentration (MIC). MIC values by antimicrobial susceptible testing are required to deem if a bacterial species or sample is susceptible (S) (where treatment is likely to be successful), intermediate (I) (where its unknown if treatment will be successful) or resistant (R) (where treatment is unlikely to be successful to a given antibiotic) [50]. This will be covered in more detail in the next chapter on profiling drug resistance.

## Impacts and dissemination of Antibiotic Resistance

The impacts of antibiotic resistance are considerable due to the fact that we are all possible stakeholders in requirement for antibacterial therapy (e.g. economically due to the cost of health care and for most medical treatment [51]). Many modern medical procedures rely on the use of antibiotics (e.g. prophylaxis and post-operative care for surgery [52], treatment for immunocompromised individuals [53] and treatment of sexually transmitted diseases [54]). There is a clear correlation that the more antibiotics we use the more bacterial antibiotic resistance occurs due to the large selective pressure we induce [55]. It is also important to state that there has always been a natural reservoir of antibiotic resistance that has existed before antibiotic usage. For instance, putative antibiotic resistance genes have been detected in ancient DNA studies (e.g. permafrost cores [56]) and the gut microbiome of 11<sup>th</sup> century pre-Columbian Andean mummy [57]). However, our misuse and over use will act as a strong selection to further disseminate antibiotic resistance and cause further antibiotic resistance evolution. This is especially so if the selection pressure is strong enough, such those encountered during human or animal antibiotic treatment [58], effluent from manufacturing plants [59] or wastewater treatment plants [60]. Therefore, to prevent or limit antibiotic resistance it is important for academics, clinical and industry to work together in developing new antimicrobials, optimizing treatment strategies and finding ways to minimize antibiotic usage to impede future antibiotic resistance.

## Profiling and exploring drug treatment and resistance

Profiling drug resistance mainly relies on categorizing different isolates from different samples on their drug concentration abilities. Isolates can be collected from clinical samples, environmental samples and *in-vitro* adaptation experiments. The future of profiling drug resistance will also rely on molecular techniques on bacterial populations to provide a more comprehensive profiling of drug resistance. These methods will be elaborated on in this chapter.

### Phenotypic quantification of antibiotic resistance

As mentioned in the previous chapter the best initial form of categorizing antibiotic resistance is by the drug's *in-vitro* MIC value, which allows the strain's antibiotic susceptible type be known. This can be measured either by broth dilution in incremental concentration steps or by epsilon meter (E-test) assay. To perform these tests effectively, guidelines should be strictly adhered to [61]. This is to ensure the only variable of the assay is antibiotic drug concentration. Once a MIC value has been obtained following the clinical breakpoints on EUCAST website in accordance to the bacterial species [62], an antimicrobial susceptible type can be stated which can be S, I or R. The EUCAST clinical break-points have been determined by epidemiological studies of a large number of bacterial isolates and are regularly updated and can be viewed on method (MIC or disk diffusion), antimicrobial and species (EUCAST Antimicrobial wild type distribution of microorganism). However, these guidelines are often slightly relaxed in research facilities, where MIC is often translated into antibiotic inhibitory concentrations (IC) at different population levels such as IC<sub>90</sub> and IC<sub>50</sub> values [63–65].

Phenotypic quantification of two or more antibiotic drug agents can also be assessed. To evaluate this the fractional inhibitory concentration (FIC) can be measured following the checkerboard FIC methods [66], where agents are tested alone and together. From this an FIC index value can be calculated. Antibiotic combinational effects can be categorized as synergistic (more effective when used together), additive (the same effective when used to-

gether, equivalent to using a double dose) and antagonistic (less effective when used together) [67].

Further phenotypic evaluation of an adapting bacterial population can be performed by assessing the evolution of an antibiotic drug-pair compared to its single drug components. This is calculated by using the formula presented in Munck et al 2014 work, which relies on IC<sub>90</sub> values of the drug-pairs and the single drug components before and after adaptation. From the calculated evolvability value, if a value  $\geq 1$  this implies that resistance to the drug-pair evolves to the same extent as the single drug components, whilst a evolvability value of  $\leq 1$  implies that resistance to the drug-pair evolves to the lesser extent than the single drug components. This calculation helps to provide information that can indicate drug cross-resistance or more importantly collateral sensitivity, a term that will be explained in the next chapter. For manuscript I the cryopreserved samples were obtain from Munck et al. 2014 work on phenotypic assessment on evolved bacterial populations drug-pair and single drug, where we further evaluated the populations by using molecular techniques.

## Molecular quantification of antibiotic resistance

Molecular methods can reveal ‘omics’ changes (i.e. genomic, transcriptomic and proteomic changes) to bacterial isolates or populations depending on the procedure and method used. These methods can provide rapid and sensitive determination of antibiotic resistance from a wide range of samples.

The simplest method is PCR, where DNA from an isolate or a sample can be amplified with designed primers to see whether a resistance gene is present or not. An advance of this method is quantitative PCR (qPCR), where monitoring the amplification of the PCR product can verify the presence of given genes and their amount in the sample. The qPCR is seen as an attractive diagnostic tool as it is rapid, accurate and sensitive compared to cultivation based determination and can provide information in epidemiological route of transmission etc. PCR methods have been used to determine tetracycline resistance genes [68], methicillin resistance encoded *mecA* gene in *Staphylococci* and rifampicin resistance in *Mycobacterium tuberculosis* [69] and plasmid mediated ampC in Gram negative species [70]. However, there are two main limitations to these PCR approaches. The first limitation being that the presence of these antibiotic resistance genes does not mean that treatment of certain antibiotics will fail, due to the expression of these genes being low; and the second limitation being that novel molecular mechanism can easily be missed [69].



The first problem can be overcome by using transcriptomic or proteomic methods; where with transcriptomics direct gene expression can be analyzed, and with proteomics protein levels and modification can be evaluated. Overcoming the second problem requires a more in-depth analysis, such as using sanger sequencing and whole genome sequencing (WGS) on bacterial isolates to capture the novel mutations. This can easily be performed but is expensive. These sequencing methods are frequently used for clinical isolates, adaptation laboratory evolution studies, and environmental samples. Other approaches can be taken such as metagenomics where sequencing the DNA of the whole community can take occur. This allows for detection of the whole resistome, but is limited on the annotated antibiotic resistance genes in public gene databases [71]. Another limitation to this technique is that most metagenomic methods rely on NGS platforms that generate short sequencing reads which can make assembly of genomes into long contigs hard with limited mapping resolution. But unlike other methods it does allow for the whole uncultured community to be sequenced.

Therefore, our approach was in manuscript I to PCR amplify loci of interest in known antibiotic resistance genes and for manuscript II PCR amplify well known antibiotic resistance genes of interest and then use population sequencing on the MiSeq platform. We were fortunate that we only wanted to know the molecular resistance changes to our organism of interest that we could easily map to a reference. This allowed for us to generate amplicons to eliminate background noise, sequencing reads that were easy to map and directly detected variant and establish their frequency in the population.

## Verification of molecular detection of antibiotic resistance

Unlike phenotypic assays, NGS data sets require validation of variants detected as these are mere observations. This can be done by two different approaches: using gene editing tools or literature mining to find validation results. In the first approach, modifications in an ancestral wild type are done by recombineering techniques such as homologous recombineering where SNPs and INDELS can be reintroduce [72], or by high-throughput SNP CRISPER-Cas9 technologies [73]. The second approach is the simpler of the two where validations have been previously been preformed, analyzed and values reported.

In manuscript I selected mutations were recombineered, and relative fitness to the ancestral wild type, IC90 to a given drug and persistence at different drug concentrations was reported. For manuscript II all treatment enriched

non-synonymous mutations were in the literature mined, those where recombineering and MIC difference were reported are compiled in table 4.

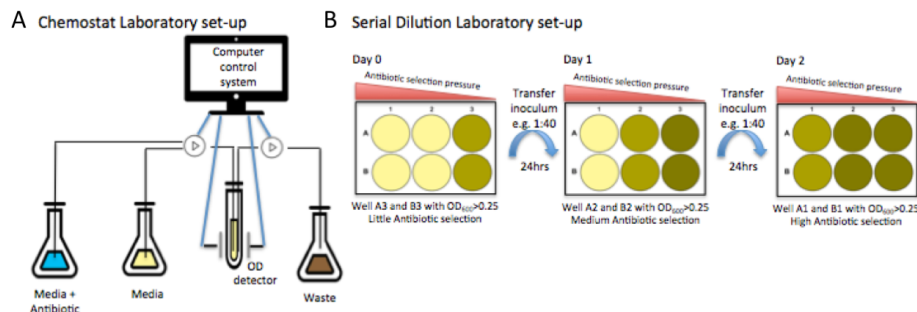
## Generation of clinical and environmental sample collections

Most bacterial collections comprise of isolates from samples where microbiology laboratories have processed the samples. Examples of these are *P. aeruginosa* isolate collection from sputum samples from cystic fibrosis patients [74,75] *Staphylococcus aureus* isolates from a teaching hospital [76]. However, especially in environmental samples, it is well known that only a small percentage of bacterial species can be isolated. Therefore many sample collections comprise of extracted DNA, which can later be analyzed by metagenomic techniques (e.g. pyrosequencing of antibiotic resistance-contaminated river sediments [77]). In manuscript II we used culture-independent methods to analyze our *P. aeruginosa* populations by extracting the DNA, amplifying our genes of interest and sequencing on the Miseq NGS platform. Validation of results was done with literature mining.

## Generation of laboratory generated sample collection

To generate antibiotic resistance, sample collections tend to rely on adaptation evolution experiments to evolve isogenic naïve wild types to become drug resistant. Unlike clinical bacterial isolate, where evolutionary changes lead to antibiotic resistance, adaptation evolution experiments allow to regularly freeze samples to form cryopreserved stocks [78]. As the experiments are performed in controlled laboratory settings, scientific analysis of evolutionary phenomena are easier to analyze [79]. Therefore, in turn, replay experiments and tracking experiments can easily be performed.

Adaptation evolution experiments can be performed by two protocol approaches: a chemostat approach or a serial dilution protocol (Fig 5).



**Fig 5.** An overview of adaptive evolution protocols: (A) Chemostat laboratory set-up where a computer control optimizes the growth of the bacteria in the reaction vessel by continuously monitoring the OD and dynamically adjusting antibiotics concentrations; (B) Serial laboratory set-up where bacteria are grown in a microtitre plate with increasing antibiotic concentration from left to right and bacterial replicated in different rows, by serial passaging bacteria can adapt to higher levels of antibiotic (right).

In the chemostat approach, bacterial populations are continuously exponentially grown by the constant nutrients given and waste and excess bacteria removed. An example is the development of the ‘mobidostat’ explained in Toprak et al 2013 work, where bacterial populations are constantly measured for optical density to measure growth rate and antibiotic drugs can be dynamically administered. This device was used to generate in parallel *E. coli* adapted populations to three drugs (chloramphenicol, doxycycline and trimethoprim) for 20 days. From this study the analysis of evolutionary trajectories and end-point isolates were sequenced. Additionally, they also sequenced multiple time-points to understand the cumulative mutational development in the dihydrofolate reductase gene. A similar process which we used in the generation of manuscript I. The chemostat approach best simulates infections occurring within tissue or an organ where no transfer occurs [78]. The serial dilution approach of adapting bacterial populations grows bacteria for a given time period and then a small inoculum is transferred to a new vessel or well in increasing antibiotic concentration increments. An example is Munck et al. 2014 work, where in microtitre plates the wells that had the highest antibiotic concentration after incubation for 20 hours with an  $OD_{600} > 0.25$  absorbance were re-inoculated at 1:40 dilution factor into fresh microtitre plates. This was done for 14 days. At the end of the experiment, bacterial populations from each drug condition and lineage had a representative isolate whole genome sequenced. This approach best simulates patient to patient transfer events [78].

Another alternative method for systematically examining antibiotic resistance is to use strain collections consisting of genetic mutants. Examples are the use of the KEIO strain collection where systematically studying the intrinsic resistome of *E. coli* to various drugs and examining the phenotypic response yielded important results [80,81]. This has also been applied to *P. aeruginosa* by using transposon generated libraries, which then can be tested in a similar manner to examine the intrinsic resistome[82–84].

In relation to the research work performed, in manuscript I genomic evaluation of the cryopreserved population samples was generated by serial dilution adaption evolution experiments. Whilst in manuscript II, if to be re-performed under strict laboratory conditions, a chemostat laboratory set up would probably be more applicable, due to the bacteria's permeant residence in the cystic fibrosis patient lung.

## Applications of profiling drug treatment and resistance landscapes

The implication of profiling evolutionary landscapes in regards to antibiotic resistance are vital, for the ability to use antibiotic as an essential medical resource. Clinical antibiotic resistance is unfortunately not hard for bacteria to achieve due to the micro-niches in the body e.g. in the lungs, epidermis and joints [85]. Especially in these micro-niches bacteria experience sub-inhibitory antibiotic concentrations, which impede but not prevent growth [85,86] and even allow evolution to occur to circumvent any negative effects. Therefore, through this next chapter I will discuss the application of profiling drug resistance landscapes.

### Traditional approaches to applying drug profiling for treatment, with limited antibiotic resistance outcomes

Within microbiology it has been naively accepted that the development of bacterial antibiotic resistance can be impeded by the use of drug combinations, especially those that exhibit synergistic effects. The assumption was that bacteria developing resistance to one drug was more likely than developing resistance to two drugs simultaneously [87]. Traditionally in the clinical setting most antibiotic drug combinations are chosen due to their different cellular targets [88], but despite many antibiotics attacking different cellular targets bacteria often evolve the same mechanisms to resistant antibiotics. These mechanisms are commonly known as cross-resistance mechanisms (e.g. are the multi-drug resistant efflux pumps and modifications to pleiotropic genes [89]). However, there are exceptions; e.g. it has been frequently found by *in-vitro* assays that many classes of antibiotics have synergy with aminoglycosides [90–92]. This did have great clinical success and now with understanding the molecular mechanisms we can elucidate ways to utilize antibiotics more effectively. In the next sub-chapter, I will further explain the mechanisms of why aminoglycosides exhibit synergistic qualities with other antibiotic drug classes.

## Novel methods to systematically review drug reactions

Traditional microbiology could only assess antibiotic resistance by phenotypic assays or by low throughput sanger sequencing to reveal genomic changes. With developments in molecular and automation technologies it is easier to study phenotypic drug responses and study cellular molecular changes. These new methods have been able to resurrect old hypothesis, such as collateral sensitivity, test them and provide important information to ascertain the application validity.

Systematic studies can explore a bacterial species' reaction to large volume of antibiotics. This can be done by investigating bacterial collections of gene knockouts, transposon mutant libraries, adaptation populations and endpoint isolates, and clinical or environmental isolate collections. Most studies have focused on WGS and reported where genomic resistance has occurred. This could provide critical intrinsic antibiotic resistance information (e.g. the dihydrofolate reductase gene in trimethoprim resistance [93]). Other studies have lead in promoting antibiotic treatment ideas and strategies, which hopefully can be used in clinical application once certain parameters have been satisfied.

Ideas promoting novel targets were generated following the development of the Keio collection of approximately 4000 single gene knockouts. This allowed scientists to test the Keio collection with different antibiotics. The first study by Tamae et al 2008 showed that with high-throughput screening of 7 drugs, there were genes that made a given bacterial strain hypersensitivity to a given drug. This was further extended in Lui et al 2010 work with 22 additional antibiotics. Both studies showed the complexity of the intrinsic resistome, and especially by targeting pleiotropic genes by co-drugs or antibiotic adjuvants, there was renewed hope in antibiotic treatments. Transposon libraries in *P. aeruginosa* also have shown promise in exploiting intrinsic resistome weak-points that could be useful for co-drugs or antibiotic adjuvants [82–84]. This produced the revival of an old idea of collateral sensitivity [94]. In this work bacteria that were made resistant to a given antibiotic agent became more sensitive to another. This phenomenon was the main focus in Imamovic and Sommer 2013 work. By adapting *E. coli* bacterial populations to 23 antibiotics and evaluating drug susceptibility profiles, collateral sensitivity and cross-resistance drug networks were established. After finding drug pairs that exhibited collateral sensitivity, a demonstration of drug cycling with gentamycin and cefuroxime was shown to be successful. Adaptation to each of the drugs was done sequentially and MICs of both drugs performed; the drug that was used for adaptation showed increased resistance whilst the other drug had a severely decreased MIC value. The use of drug networks displaying collateral sensitivity has also been explored by

Lazar et al. 2014, combining *in-vitro* adaptation of *E. coli* and whole genome sequencing to 12 antibiotic drugs with 5-6 replicates. It was later elucidated that aminoglycoside mutants often change key components required for energy production and permeability, whereas other resistance mutants e.g. chloramphenicol mutants require a higher demand of energy, e.g. mutants that up-regulate MDR efflux pumps. Using a similar approach, in Munck et al. 2014 work the experiment was performed on 10 drug-pairs and 5 single drugs done in triplicate for 14 days, showing that the drug pairs which involve amikacin and another antibiotic agent such as chloramphenicol can limit the development of antibiotic resistance.

To further elucidate these findings within the adaptive bacterial populations I used amplicon deep sequencing of around Munck et al 2014 end-point mutations. From this we discovered that the collateral sensitive drug pair AMK-CHL still developed resistance mutations in *acrAB-TolC* multi-drug resistant efflux transcriptional repressor genes, but did not develop mutations in the *fusA* and *sbmA* genes that is typical for AMK resistance development. These studies reported the genomic trade-offs that bacteria must make to become resistant to a given antibiotic drug, but this could leave them more vulnerable to another type of antibiotic drug. Therefore, using collateral sensitive drug cycling networks and collateral sensitive pairs tools could be effective to increase the longevity of antibiotic therapy until new agents are available [95].

## Transfer *in-vitro* drug profiling results into possible clinical application

To transfer these *in-vitro* findings from the laboratory to clinical practice, an expansion of data must occur regarding the use of different clinically relevant pathogens and isolates [95]. Another consideration is antibiotic's pharmacodynamics parameters (that describe the impact of an antimicrobial agent on the target organism) and the pharmacokinetic parameters (that describe the availability of an antimicrobial agent in the target organ or tissue), which will provide information regarding the plausibility of using these agents within the patients [43]. It would be of interest to look into the long term effects of using antibiotic collateral sensitive treatment as a tool for possibly treating chronic infections, such as chronic pseudomonas infections. However today little is known of the bacterial population genomic divergence of antibiotic resistant variants especially in individuals inflicted with chronic infections. In manuscript II we begin to look into this by studying 19 genes in cystic fibrosis patients that have chronic *p. aeruginosa* lung infections whilst undergoing hospitalized treatment. We could see a vast array of

genomic mutant sub-populations present in the sputum samples. Therefore, understanding bacterial population divergence, sub-populations and the uses of different antibiotic and their deployment could help towards the treatment of bacterial pathogens.



## Chapter 5. Concluding remarks and future perspectives

As stated through out this PhD thesis, bacteria have many advantageous traits that allow them to evolve to a vast array of environmental conditions. These traits are their: short generation time, large population capabilities and their rate of spontaneous mutations. By following adaptive evolution of bacteria, we can observe evolution in real time [96] and freeze regular samples for later tracking genomic events as seen with in the two manuscripts of this PhD.

The bacterial evolution studies within this PhD thesis are all related to intrinsic antibiotic resistance, within bacterial populations. Our molecular pipelines could detect SNP and INDEL variants but not clearly detect gene duplication events.

In manuscript I, deep amplicon sequencing of antibiotic loci were tracked over time to establish genomic events occurring in adapted collateral sensitive drug-pair bacterial populations, in adapted collateral resistant drug-pair bacterial populations and in adapted single drug bacterial populations. Our finding from this research indicated that adapted collateral sensitive drug-pair populations e.g. populations adapted to AMK-CHL stopped developing *fusA* and *sbmA* mutants but continue to develop mutants in other gene loci. In the adapted collateral resistance drug-pair these finding did not occur. Consequently, by using antibiotic collaterally sensitive drug pairs, evolution of antibiotic resistance can be limited but not completely inhibited it. We also observe how mutational variants appear in the population and how their dynamics follow the rules describe by others such as clonal interference, population fixation, and periodic selection occur [34,35,97,98].

Whilst in manuscript II, 19 *P. aeruginosa* antibiotic resistance and pathogadaptive whole genes were sequenced and analyzed from DNA extracted from chronically infected cystic fibrosis patients undergoing hospitalized antimicrobial therapy. The results of this manuscript indicated that there was a vast array of genomic variants produced during antimicrobial treatment; most of these were synonymous mutations. Therefore, we thought there could be environmental factors such as quorum sensing mechanisms endog-

enous to *P. aeruginosa* [99] inducing genomic divergence or the use of the antibiotics themselves that could be inducing genomic divergence by mechanisms such as SOS response[100]. We then examined the non-synonymous mutations within the population and observed effects of clonal interference that has been well documented through literature by adaptation experiments in other Gram negative species [98,101]. These events are probably important for the development of antibiotic resistance within the bacterial populations to find mutations are effective against antimicrobials that compromise fitness the least. Most of our novel enriched variants were not described within the current scientific literature, with the exception of 11 variants, where three had follow up studies where the MIC increase reported.

These studies have generated important but limited information. As mentioned by Hancock 2014, an expansion in antibiotic resistance information is required in different bacterial species, to several antibiotics and treatments, from different bacterial population locations.

From the work here I hope I can expand in the future by developing larger data-sets regarding antibiotic resistance within bacterial populations, by analyzing data from both systematic *in-vitro* experiments and clinical samples. As well as developing sequencing pipelines to capture genomic events across the whole genome within bacterial species populations, so duplication events could also be captured.

Maybe it is an ambitious statement to finish on, but by understanding factors that induce genomic variation we can hopefully find the mechanisms to impede it, such as the quasi-Lamarckian mechanisms that induce neo-Darwinism evolution in antibiotic resistance. Then using co-drug or antibiotic adjuvants [81,84,102,103] that target these quasi-Lamarckian mechanisms, suppression of antibiotic resistance development can ensure the longevity of modern medical treatments.

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## Manuscripts

Manuscript I: Time-resolved tracking of mutations reveals strong clonal interference during antimicrobial adaptive of *Escherichia coli* to single and drug pairs.

# **Time-resolved tracking of mutations reveals strong clonal interference during *Escherichia coli* antimicrobial adaptive evolution to single and drug-pairs.**

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## **Abstract**

Understanding the evolutionary processes leading to antibiotic resistance can help to achieve better treatment strategies. Genomic characterization of antimicrobial adaptation evolution experiments is commonly done by sequencing representative isolates from end-point adapted populations. Consequently, little is known about the dynamics of the resistance alleles during adaptation. Here we use population sequencing to monitor genetic changes in key adaptive loci at several time-points during an adaptive evolution experiment to five different antibiotic conditions. We monitor the mutational spectrum in putative resistance alleles in lineages evolved to single antibiotics (amikacin, chloramphenicol and ciprofloxacin) as well as antibiotic combinations (amikacin + chloramphenicol and chloramphenicol + ciprofloxacin). We find that lineages evolved to antibiotic combinations exhibit

24 different dynamic resistance adaptation profiles compared to single drug evolved  
25 lineages. Analysis of allele frequency dynamics indicates interactions between  
26 specific mutations. We termed these clonal interference allelic interactions, super-  
27 imposing allelic interactions and population allelic fixation events. To assess our  
28 observations a sub-set of mutations were introduced into the ancestral wild type.  
29 The resulting strains were assessed on relative fitness, IC90 fold change from the  
30 ancestral wild type and mutant allelic persistence abilities.

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32 **Keywords:** Antibiotics, allelic dynamics, population frequency sequencing

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## 40    **Introduction**

41    Bacteria have an impressive ability to adapt to changes in their environment. Their  
42    large population sizes and short generation times allow them to rapidly evolve and  
43    adapt in response to environmental perturbations. In addition, their ability to  
44    horizontally acquire new genetic material enables them to quickly expand their  
45    functional capabilities. Consequently, bacteria have evolved to overcome stress  
46    from a wide range of xenobiotic including disinfectants (Gerba, 2015), metal ions  
47    (Sütterlin et al., 2014) and antibiotics (Dantas and Sommer, 2014; Gullberg et al.,  
48    2011; Piddock, 2012; Sommer et al., 2010).

49    In the case of more severe perturbations such as high-level antibiotic exposure, or  
50    other xenobiotic perturbations the regulatory plasticity is not sufficient and the  
51    bacteria have to evolve new traits to survive (Lindsey et al., 2013). This either  
52    occurs via horizontal acquisition of new genes or through mutations in existing  
53    genes. In the latter case, random single nucleotide variants (SNVs) or  
54    insertions/deletions (INDELs) in the genome drive the evolution of new traits. The  
55    ability of a mutated allele to establish within a population depends on the complex  
56    interplay between the positive selection for the conferred benefit of the mutation  
57    and negative selection against any associated fitness cost of the mutation. By  
58    identifying SNVs and INDELs at the population level at different time points during  
59    adaptation to a physical or chemical perturbation, it is possible to uncover the  
60    trajectories through which a population evolves. Studies of such evolutionary

61 trajectories have identified the mutational landscape that populations undergo  
62 when adapting to different diverse perturbations such as; glucose as a limiting  
63 nutrient (Barrick et al., 2009; LaCroix et al., 2015) and antibiotic exposure (Feng et  
64 al., 2016; Toprak et al., 2011; Zhang et al., 2015).

65 An interesting phenomenon that often accompanies the mutational responses to an  
66 environmental perturbation is the emergence of collateral effects. This  
67 phenomenon describes the situation where the adaptive mutations acquired in  
68 response to one perturbation affect the cell's tolerance to other perturbations. Such  
69 collateral effects have been found in bacteria (Imamovic and Sommer, 2013; Lázár  
70 et al., 2013; Munck et al., 2014; Oz et al., 2014; Pál et al., 2015; Szybalski and  
71 Bryson, 1952), viruses (Miedema et al., 2013) and human cell lines (Cerezo et al.,  
72 2015; Zhao et al., 2016).

73 Fundamentally, collateral changes can be divided into two categories; collateral  
74 resistance and collateral sensitivity. Collateral resistance is the situation where  
75 increased tolerance to one xenobiotic perturbation also gives increased tolerance  
76 to other xenobiotic perturbations. In contrast, collateral sensitivity describes the  
77 situation where increased tolerance to one perturbation is accompanied by  
78 increased sensitivity to other perturbations. In a recent study we investigated how  
79 bacterial populations respond to a dual selection pressure relative to a single  
80 selection pressure (Munck et al., 2014). Over the course of fourteen days bacterial  
81 populations were adaptively evolved to either single antibiotics or combinations of



82 two antibiotics. Interestingly, we found that the presence of collateral sensitivity  
83 between two drugs significantly limited the populations' capacity to evolve  
84 resistance. In the current study we follow the evolutionary events at key genetic  
85 loci in bacterial populations that have been exposed to increasing concentrations of  
86 either a single antibiotic or combinations of two antibiotics (Munck et al., 2014).  
87 We investigated lineages evolved to amikacin [AMK], chloramphenicol [CHL],  
88 ciprofloxacin [CIP], amikacin + chloramphenicol [AMK-CHL] and chloramphenicol  
89 + ciprofloxacin [CHL-CIP]. The AMK-CHL drug combination was selected since it  
90 was found to generate evolutionary tension suppressing evolution of antimicrobial  
91 resistance, whereas the CHL-CIP combination was chosen since the component  
92 drugs have complementary evolutionary trajectories that enhance resistance  
93 evolution towards the combination (**Figure 1A**). In this study amplicon population  
94 sequencing and genome engineering were used to characterize the evolutionary  
95 dynamics in response to the individual antibiotics and antibiotic combinations  
96 (**Figure 1B**).

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## 99 **Materials and Methods**

### 100 **Bacterial Strains**

101 The bacterial strain used in this study was MG1655, an *E. coli* K-12 MG1655 wild-  
102 type (Blattner et al. 1997) for the adaptation evolution experiment and generation  
103 of sample collection. Further characterization experiments were done on  
104 successfully recombined strains to reintroduce given mutations, the strains were:  
105 *E. coli* K-12 MG1655:*marR* C328T, *E. coli* K-12 MG1655:*rob* G467A, *E. coli* K-12  
106 MG1655:*marR* C328T + *rob* G467A, *E. coli* K-12 MG1655:*gyrA* A260G, *E. coli* K-12  
107 MG1655: *marR* T251A, *E. coli* K-12MG1655:*gyrA* A260G + *marR* T251A, *E. coli* K-  
108 12MG1655:*soxR* 378\_380delGCG and *E. coli* K-12MG1655: *gyrA* A260G + *soxR*  
109 378\_380delGCG.

## 110 **Sample revival and DNA extraction**

111 Saved microtitre plates from Munck et al 2014 were defrosted for 30 min at 4°C, to  
112 prevent aerosol contamination plates were centrifuged at 300g for 5 sec before  
113 seal removal. To revive our samples, 150µL samples were transferred to a new  
114 tube with 500µL LB media and incubated at 37°C for three hours. Genomic DNA  
115 was extracted by using Genomic Mini kit in accordance to the protocol (A+A  
116 biotechnologies).

## 117 **Resistance allele Primer design**

118 The loci selected for sequencing were chosen based on knowledge of their  
119 involvement in antibiotic resistance and the mutation profile in the end-point  
120 sequenced original evolved lineages and population level loci sequencing was

121 performed on day 2, 4, 6, 8, 10 and 10 (**Figure 1A**). For this study we monitored  
122 mutations for AMK: *cpxA*, *fusA* and *sbmA*; for CHL: *acrR*, *marR*, *rob* and *soxR*; for CIP  
123 *acrR*, *gyrA* and *soxR*; for AMK + CHL: *acrR*, *cpxA*, *fusA*, *marR*, *rob* and *soxR*; for CHL +  
124 CIP: *acrR*, *gyrA*, *marR*, *rob*, *soxR*. We believe these loci cover important genomic  
125 locations to begin to unravel important interaction in intrinsic antibiotic resistance.  
126 Primers were designed with overhangs to allow barcoding with Nextera XT indices  
127 in accordance to Illumina 16S Metagenomic Sequencing Protocol (Illumina  
128 Protocol Online) to amplify 12 different genomic regions (**Supplemental Table 1**)  
129 in known where resistance causing SNPs. All primers were tested on *E. coli*-K12  
130 wild type genomic DNA and PCR products were verified by 1% agarose gel  
131 electrophoresis with GelRed (Biotium).

## 132 **Amplicon library preparation and sequencing**

133 All samples were amplified using the primers in (**Supplemental table 1**) and  
134 following the description in the above section for each drug condition. Each PCR  
135 reaction was done in 0.2mL sterile PCR tubes and consists of 10  $\mu$  L phusion flash  
136 high-fidelity PCR master mix (ThermoFischer Scientific), 0.5  $\mu$  M forward primer,  
137 0.5  $\mu$  M reverse primer, 1  $\mu$  L DNA template and H<sub>2</sub>O to a total reaction volume of  
138 20  $\mu$  L. The PCR amplification used an initial denaturation of for 98°C 30 s, 30  
139 cycles consisting of a 98°C for 10 s denaturation, 65°C for 10 s annealing, 72°C for  
140 15 s elongation, 72°C final extension for 60 sec then hold at 4°C. To validate correct

141 amplification gel electrophoresis was performed with 1% agarose gel and stained  
142 with ethidium bromide. After verification all the different loci amplicons that  
143 belonged to the same drug-condition, lineage and time-point were pooled together.  
144 PCR products were cleaned by Agencourt AMPure XP PCR purification system used  
145 according to their online protocol (Beckman Coulter 2013). The barcoding of the  
146 amplicons was done by a second PCR amplification and was done in 0.2mL sterile  
147 PCR tubes and consists of 25  $\mu$  L Phusion Flash High-Fidelity PCR master mix  
148 (ThermoFischer Scientific), 5  $\mu$  L Nextera XT index 1 (N7XX), 5  $\mu$  M Nextera XT  
149 index 2 (S5XX) (Illumina), 5  $\mu$  L pooled amplicons and H<sub>2</sub>O to a total reaction  
150 volume of 50  $\mu$  L. The PCR amplification used an initial denaturation of for 98°C 30  
151 s, 8 cycles consisting of a 98°C for 10 s denaturation, 65°C for 10 s annealing, 72°C  
152 for 15 s elongation, then 72°C final extension for 60 sec then hold at 4°C. Barcoded  
153 amplicons were cleaned according to Agencourt AMPure XP PCR purification  
154 systems (Beckman Coulter 2013). Barcoded amplicons were measured for DNA  
155 concentration with Qubit dsDNA HS Assay kits and measured on Qubit  
156 Fluorometer (Thermo Fischer Scientific Inc 2015) and average fragment size with  
157 Agilent DNA 1000 kit and measured on Agilent 2100 Bioanalyzer instrument  
158 (Agilent Technologies 2013). Then barcoded amplicons were pooled from  
159 fragment size and DNA concentration values to form a sequencing library based.  
160 The sequencing library was sequenced on the MiSeq sequencing platform  
161 (Illumina).

## 162 **Sequence Analysis**

163 All raw sequencing read from our amplicon sequencing have been deposited in the  
164 Sequence Read Archive (SRA) under bioproject accession PRJNA328094.  
165 Sequencing reads were mapped to the reference genome (GenBank accession  
166 NC\_000913) using CLC genomics workbench (Qiagen). These files were exported  
167 and used for coverage analysis. To verify sequencing coverage mapping read files  
168 from CLC genomic workbench and using the statistical program R (R Core Team,  
169 2014a). Coverage plots were generated and any amplicons than were below our set  
170 threshold of 1000 read coverage were re-done until our threshold was achieved, to  
171 ensure good quality data (**Supplemental Figure 1-5**). To detect variants, all  
172 mappings were analyzed with the basic variant detection calling function in CLC  
173 genomic workbench (Qiagen). Files were further analyzed using the program R (R  
174 Core Team, 2014b), our detected variants are listed in **Supplemental Table 2**.

## 175 **Recombineering for direct mutagenesis**

176 For single mutant variants, the ancestral wild-type *E. coli* K-12 MG1655 was  
177 transformed with the transient mutator plasmid PMA7sacB (GenBank accession  
178 KT285941)(Lennen et al., 2016). For each single variant mutations two  
179 recombineering cycling rounds were done with *E. coli* K-12 MG1655 harboring the  
180 PMA7sacB plasmid this was in accordance to the MAGE cycling with the single-  
181 stranded oligonucleotides (ss-oligo) with verification primers (**Supplemental**

182 **Table 3)** and plasmid curing described by Lehnen et al. 2016. Direct mutagenesis  
183 verification was confirmed by Sanger sequencing, done with Eurofins Mix2Seq kit  
184 and following their instructions (Eurofins Genomics). After verification, double  
185 mutant variants were created by taking one single mutant variants from the  
186 observed double-mutant pair and transforming with the with the PMA7sacB  
187 plasmid, recombineering with the other mutant variant ss-oligo was done in two  
188 rounds as previously described and plasmid curing was also performed.

### 189 **Characterisation of recombineered mutation variant strains**

190 Relative growth rate as indicator of fitness was done for all recombineered strains  
191 and wild type. To measure relative growth fitness, overnight cultures in LB  
192 medium were diluted to  $1 \times 10^6$  CFU/mL, then added to 96-well microtitre plate  
193 with a medium negative control all performed with 8 biological replicates, with  
194 two technical replicates to allow calculation of the relative growth rate. Growth of  
195 the samples was done at 37°C with shaking for 10s and kinetic measurements on  
196 ELx808 absorbance microplate reader with Gen5 software (BioTek Instruments  
197 Inc). Optical density measurements were taken at 630 nm ( $OD_{630}$ ) every 10 min.  
198 Exponential growth was defined as  $OD_{630}$  values between 0.02 and 0.1. To calculate  
199 relative growth R statistical software was used to find the doubling time ( $T_d$ ) of  
200 each of the strains and the standard deviation ( $T_d$  SD), then to calculate relative  
201 growth rate, the recombineered strain  $T_d$  value was divided by the wild type  $T_d$   
202 value. Selective advantage was measured by performing IC90 determination assays

203 to the antibiotic drug condition where the mutations were observed. IC90  
204 determination was performed in 96-well microtitre plates with 2-fold drug  
205 gradient consisting of 10 drug concentrations prepared in LB broth, each well had a  
206 volume 100  $\mu$  L and was inoculated with 1  $\mu$  L bacterial culture to provide well  
207 start inoculum 1x10 CFU/mL, each plate had 8 biological replicates. Plates were  
208 incubated for 18h at 37°C, and OD600 was read on Synergy H1 plate reader  
209 (BioTek Instruments Inc).

## 210 **Results**

### 211 **Selection of alleles for population sequencing.**

212 We specifically targeted putative resistance alleles identified by Munck et al. 2014  
213 through whole genome sequencing of evolved isolates. As template we used DNA  
214 isolated from evolving populations sampled every 48 hours of the experiment. The  
215 following genomic loci (and drug conditions) were studied: *cpxA*, *fusA* and *sbmA*  
216 (AMK); *marR* and *rob* (CHL); *acrR*, *gyrA* and *soxR* (CIP); *cpxA* and *marR* (AMK +  
217 CHL); *acrR*, *marR*, *gyrA* and *soxR* (CHL + CIP). These genes are implicated in either  
218 multi-drug resistance (collateral resistance) or specific-drug resistance (collateral  
219 sensitivity). The genes implicated in multi-drug resistance include global  
220 regulators that induce up-regulation of *acrAB*-*TolC* multi-drug efflux systems, i.e.  
221 *acrR*, *marR*, *rob* and *soxR* (Chubiz et al., 2012a). Mutations in these global  
222 regulators often have generalized cross resistance effects to many antibiotic

223 classes. Consequently, we termed these as mutations in cross resistance gene loci.  
224 In contrast, genes implicated in specific-drug resistance are *gyrA* mutations (Fu et  
225 al., 2013), that confer CIP resistance, and *cpxA* (Girgis et al., 2009), *fusA* (Johanson  
226 and Hughes, 1994) and *sbmA* (Chubiz et al., 2012b; Puckett et al., 2012), that confer  
227 AMK resistance.

## 228 **Mutant allele dynamics differ between single drug and drug** 229 **combination evolved populations**

230 Our sample collection consisted of 90 samples from 15 bacterial populations. These  
231 were triplicates of adaptive evolution experiments to 5 drug conditions. 3 of these  
232 were single drugs: AMK, CHL, CIP; and 2 were drug combinations: AMK-CIP and  
233 CHL-CIP. The triplicate parallel lineages were assigned letters from A-C and were  
234 sampled on 6 time-points (Day: 2, 4, 6, 8, 10 and 12) (**Figure 1A**). From our sample  
235 collection, we extracted genomic DNA, amplified loci of interest, verified products  
236 by gel electrophoresis, pooled together amplicons comprising targeted alleles  
237 based on drug-condition and time-point, barcoded the amplicons with indices in a  
238 second PCR step, and pooled them together to form a sequencing library. The  
239 library was then sequenced using the MiSeq sequencing platform to establish  
240 mutational population frequency dynamics in antibiotic resistance conferring loci  
241 (Material and Methods and **Figure 1B**). A minimum coverage of 1000 sequencing  
242 reads per amplicon was required before further data processing (**Supplemental**  
243 **Figure 1-5**).



244 From our results we wanted to compare the allele frequency dynamics of single  
245 drug evolved populations versus combination drug evolved populations. We  
246 observed differences in the cumulative frequencies of mutations of some genes in  
247 the combination condition compared to their single drug components (**Figure 2A**).  
248 There were minor differences in gene loci mutational cumulative frequencies,  
249 except for the collateral sensitive gene loci of AMK-CHL compared to AMK. It is  
250 assumed, that antibiotic combinations would suppress antibiotic resistance  
251 evolution compared to drug monotherapy. Yet, we have previously shown that this  
252 is not always the case; instead only combinations with collateral sensitivity  
253 interactions seem to suppress drug evolution (Munck et al., 2014). We did a Holm-  
254 Sidak multi-comparison two-way ANOVA statistical test to compare the cumulative  
255 mutational frequencies between different drug conditions and sequenced gene loci  
256 characterized in this study. We found spurious differences between single and  
257 combination drug conditions in their respective cumulative mutational  
258 frequencies. However, two gene loci showed consecutive significant differences  
259 over two or more time-points. These were the *fusA* gene and *sbmA* gene, where  
260 mutations were significantly less frequent in AMK-CHL drug evolved populations  
261 compared to the AMK drug evolved populations (p-value  $\leq 0.001$ , Holm-Sidak  
262 multi-comparison two-way ANOVA test) (**Figure 2B**). This was also observed in  
263 the work of Munck et al 2014 and likely results from collateral sensitivity to CHL  
264 caused by these mutations (de Cristobal et al., 2008; Macvanin et al., 2005).

## 265 **Populations exhibit complex dynamics of mutant alleles during** 266 **antibiotic resistance evolution**

267 To elucidate important mutant alleles within our populations, we selected all  
268 alleles that appeared in at least one time-point with a population frequency  $\geq 30\%$   
269 (Supplemental **Figure 6**). We observed 5 mutations that occurred in parallel  
270 evolution events in different adapted populations (AMK: *cpxA* Trp184Arg, *fusA*  
271 Pro610Gln; AMK-CHL: *acrR* Lys55Glu; CIP and CHL-CIP: *gyrA* Ser83del; CHL-CIP:  
272 *soxR* Arg20Cys). Out of these mutations, 2 have not previously been reported in the  
273 literature, namely *acrR* Lys55Glu in AMK-CHL populations and *cpxA* Trp184Arg in  
274 AMK populations. We speculate that the *acrR* Lys55Glu mutation confers resistance  
275 to the CHL component in the AMK-CHL populations by up-regulation of the *acrAB*-  
276 TolC multi-drug efflux pump whereas *cpxA* Trp184Arg confers AMK resistance by  
277 modifying membrane stress response.

278 The most prevalent mutation in the evolved bacterial populations was *gyrA*  
279 Ser83del, as it was observed in 3 CHL-CIP and 2 CIP populations at several time-  
280 points. The *gyrA* gene often mutates at amino acid position 83 however it has only  
281 been reported once that the mutation was due to a deletion of the codon (Jaktaji  
282 and Mohiti), as seen within our data. We saw this mutation in high prevalence,  
283 especially in the CHL-CIP lineage A, where on day 12 it was observed at a frequency  
284 of 100% in the population. However, this mutant allele was not detected in Munck

285 et al 2014 work possibly due to the use of whole genome sequencing of a  
286 representative isolate from the population.

287 Within our data we observed three distinct accumulation patterns of different  
288 mutant alleles (**Figure 3A**). We termed these: discordant allele frequency  
289 dynamics, where the mutant alleles have opposing frequency trajectories to each  
290 other (**Figure 3A (i-iii)**); super-imposing allele frequency dynamics, where the  
291 mutant alleles have the same frequency trajectories (**Figure 3B (i-iii)**); and  
292 accumulating allele frequency dynamics, where one allele accumulates in the  
293 population following the accumulation of another allele (**Figure 3C (i-iii)**).

294 We observed discordant allele frequency dynamics in three populations: CIP  
295 lineage B, AMK lineage B and CHL Lineage C. For CIP lineage B we were able to  
296 infer direct antagonistic interactions as the mutations were within the distance of a  
297 single read. We observed that the individual reads only had an amino acid change  
298 in *gyrA* at position Ser83del or Asp87Gly for the time-points 4 to 12. These  
299 interference patterns could be a result of mutational incompatibility or clonal  
300 interference, but further experimentation is required to deduce which effect is  
301 responsible for our observations.

302 We observed super-imposing allele frequency dynamics in three populations: AMK  
303 Lineage C, CHL-CIP lineage A and CIP lineage A. For all three populations the two  
304 mutant alleles have similar population frequency over multiple-time points

305 **(Figure 3 (C) (i-iii))**. Such accumulation patterns likely result from the quick  
306 succession of two separate mutations within a cell. Since, our population  
307 sequencing was performed only every 48-hours we have likely not been able to  
308 resolve occurrence of such successive events.

309 For the accumulating allele frequency dynamics we observed one allele that  
310 occurred at high frequency in the population followed by the accumulation of a  
311 subsequent allele. This was observed in three populations: AMK lineage B, CHL  
312 Lineage B and CIP Lineage A. These observations could be the result of two  
313 possible effects, firstly the hitchhiking effect where a neutral mutant allele is co-  
314 occurs with a beneficial mutant allele due to the selective advantage provided by  
315 the beneficial allele the neutral mutation is therefore irreversible (Taddei et al.,  
316 1997; Tenaillon et al., 1999) or secondly through positive or neutral epistasis  
317 (Fogle et al., 2008; Sniegowski et al., 1997). Unlike the super-imposing trajectories,  
318 the original mutation can be readily identified.

### 319 **Recombineering permits validation of allelic interactions**

320 To follow up on our observations we used recombineering to engineer strains with  
321 our observed mutant alleles. This was done with a sub-set of detected mutations  
322 exhibiting super-imposing or discordant accumulation patterns. By measuring the  
323 relative growth rate and antibiotic tolerance of the isogenic mutants compared to  
324 the ancestral wild type we were able to deduce why some mutation interactions  
325 occurred (**Figure 4A (i-ii)**).

326 For our discordant mutational allelic frequency dynamic pair observed in CHL  
327 lineage C population we observed that the *rob* G467A allele became dominant in  
328 the population and *marR* C328T was lost from the population from day 6 onward  
329 (**Figure 3A (iii)**). Therefore we recombineered 3 bacterial strains MG1655:*rob*  
330 G467A, MG1655: *marR* C328T and MG1655: *marR* C328T+*rob* and tested each  
331 strain for relative growth rate and CHL IC90 fold increase from wild type (**Figure**  
332 **4A (i)**). From our recombineering results we deduced that the MG1655:*rob* G467A  
333 strain was selected due to its selective advantage to the antibiotic perturbation in  
334 comparison to MG1655: *marR* C328T strain (**Figure 4A (i)**). As the recombineered  
335 strain MG1655:*rob* G467A had IC90 fold increase of 6 from the ancestral wild type  
336 compared to MG1655: *marR* C328T strain with a fold increase of 5.5. Accordingly,  
337 we hypothesize that the observed accumulation patterns in the CHL lineage C  
338 population result from clonal interference and not mutational incompatibility. To  
339 validate this assumption we recombineered the strain MG1655: *marR* C328T+*rob*  
340 G467A, this strain was viable and also had a strong CHL tolerance as it had an IC90  
341 fold increase of 11 compared to the ancestral wild type. However, the strain did  
342 have a reduced relative growth rate, in comparison to the single mutate allele  
343 components and in the ancestral wild type.

344 For our super-imposing mutations we tested our observation in the CHL-CIP  
345 lineage A population, where *gyrA* 247\_249delTCG and *marR* T251A appeared at the  
346 same frequency from day 8 (**Figure 3B (ii)**). Therefore we recombineered 3

347 bacterial strains MG1655:*gyrA* 247\_249delTCG MG1655: *marR* T251A and  
348 MG1655: *gyrA* 247\_249delTCG+ *marR* T251A and tested each engineered strain for  
349 relative growth rate and CHL-CIP tolerance compared to the ancestral wild type  
350 **(Figure 4A (ii))**.

351 We find that the bacterial cells possessing both mutant alleles *gyrA* 247\_249delTCG  
352 and *marR* T251A have a strong selective advantage, as the IC<sub>90</sub> of the double  
353 mutant was 11-fold higher than the ancestral wild type, compared to 4.3-fold and  
354 6.6-fold for the single mutants *gyrA* 247\_249delTCG and *marR* T251A, respectively.  
355 The double mutant did have a reduced relative growth rate of 0.75 when grown in  
356 antibiotic free conditions. We believe these mutations complement each other:  
357 *gyrA* 247\_249delTCG confers direct resistance against CIP (Fu et al., 2013) while  
358 *marR* T251A induces up-regulation of the *acrAB-TolC* multi-drug efflux pump  
359 causing collateral resistance to both CHL and CIP (Chubiz et al., 2012a).

360 Our previous observations coupled with our recombineering results show that  
361 bacteria sample many mutant allele types. Mutant alleles that are disadvantageous  
362 dissipate quickly from the bacterial population such as the three mutant allelic  
363 losers in discordant mutation allelic dynamics (CIP Lineage B: *gyrA* Ser83del; AMK  
364 Lineage B: *cpxA* Trp184Arg; CHL Lineage C: *marR* Gln110\*). Whilst those that aid  
365 survival persist such as the interactions demonstrated in the super-imposing or  
366 accumulating mutational allelic dynamics (AMK Lineage B: *cpxA* Asp31Tyr + *fusA*  
367 Pro610Gln; CHL Lineage B: *marR* Arg86Trp + *rob* Arg150His; CIP Lineage A: *gyrA*

368 Asp 87Tyr + *gyrA* Gln75Ser; CHL-CIP Lineage B: *gyrA* Ser83del + *marR* Val 84Glu).  
369 These mutant alleles will either become part of the population's adaptation history  
370 or will be eventually lost to a new mutant allele successor.

371

## 372 **Discussion**

373 From previous work by Munck et al 2014 we observed mutations that counteract  
374 the effects of antibiotics both by collateral resistance and collateral sensitive  
375 mechanisms. The Munck et al 2014 study, as well as many others relies on end-  
376 point genome sequencing of representative isolates to investigate evolved  
377 populations. In this study we show the importance of using next generation re-  
378 sequencing tools to further evaluate adaptation evolution in mutated loci over time  
379 at the population level. Using this approach, we find that selecting drug-pairs based  
380 on collateral sensitivity can reduce or impede antibiotic resistance development.  
381 Also, we show that longitudinal population sequencing can identify putative  
382 epistatic effects (e.g. CIP Lineage B clonal interference in *gyrA* between mutant  
383 alleles Ser83del and Asp87Gly).

384 Using population amplicon sequencing allowed us to discover several mutations,  
385 numerate their frequency in the whole populations and follow their frequency over  
386 the experimental time course. However, we are unable to establish any subsidiary  
387 antibiotic mutations outside our gene loci or resolve any compensations mutations.

388 Nonetheless we believe these direct approaches will play a critical role in *in-vitro*  
389 adaptation evolution experiments and with methodology modification could  
390 extend to population sequencing studies *in-vivo*. This will be important in several  
391 cases including monitoring of chronic conditions that require long-term antibiotic  
392 treatment i.e. chronic urinary tract infections (Blango et al., 2014; Nolan et al.,  
393 2015) or cystic fibrosis patients colonized with *Pseudomonas aeruginosa*  
394 (Folkesson et al., 2012) and *Staphylococcus aureus* infections (Vanderhelst et al.,  
395 2012).

### 396 **Author contribution**

397 Author contribution for study conception and design: R.A.H, C.M and M.O.A.S;  
398 acquisition of data: R.A.H; analysis and interpretation of data: R.A.H and C.M;  
399 drafting of manuscript: R.A.H; critical revision: R.A.H, C.M and M.O.A.S; funding of  
400 research: M.O.A.S

401

402

403

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416

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558

## 559 **Figure Legends**

560

561 **Figure 1. Experimental overview of investigation from sample collection**  
 562 **description, adaptation laboratory evolution (ALE) overview and amplicon**  
 563 **frequency work-flow.** (A) Background to our frozen sample condition, showing 5  
 564 drug conditions (AMK, CHL, CIP, AMK-CHL and CHL-CIP) each with 3 lineages  
 565 (Lineages A, B, C) covering 6 time-points (Day 0, 2, 4, 6, 8,10 and 12) from the  
 566 adaptation laboratory experiments. (B)The workflow used to assess different loci  
 567 from different drug conditions, lineages and time-points, where (1) DNA was  
 568 extracted from the different sample, (2) each sample has amplicons for each locus  
 569 these were generated by primers that had a DNA amplifying region and an Illumina  
 570 Nextera XT compatible overhang, (3) these were then barcoded using indices from  
 571 the Illumina Nextera XT library preparation kit, (4) all generated amplicons were  
 572 pooled together based on fragment size and concentration, (5) the pooled amplicon

573 library was sequenced on MiSeq Illumina sequencing platform, (6) each sample  
574 was sorted by barcode and each generated a file to map to the reference genome  
575 and call variants in the bacterial population and calculate their frequency.

576

577 **Figure 2. Comparative cumulative mutation frequencies of gene loci for each**  
578 **drug condition over deep-sequencing time-points (A)** The cumulative mutation  
579 frequency calculated for each time-point, after removal of all mutations below 5%  
580 (i) from left to right AMK (showing *cpxA*, *fusA* and *sbmA* gene loci), CHL (showing  
581 *acrR*, *marR*, *rob* and *soxR* gene loci) and AMK-CHL (showing *acrR*, *cpxA*, *fusA*, *marR*,  
582 *rob*, *sbmA* and *soxR* gene loci), (ii) from left to right CHL (showing *acrR*, *marR*, *rob*  
583 and *soxR* gene loci), CIP (showing *acrR*, *gyrA*, *rob*, and *soxR* gene loci) and CHL-CIP  
584 (showing *acrR*, *gyrA*, *marR*, *rob*, and *soxR* gene loci). **(B)** Heat-map of showing  
585 difference cumulative mutational frequencies for *fusA* and *sbmA* gene loci from  
586 AMK and AMK-CHL drug conditions at each time-point by Holm-Sidak's multiple  
587 comparison two-way ANOVA between (All dark grey points are not significant and  
588 all blue points are significant with a P-value below 0.0001).

589

590 **Figure 3. Epistatic mutation interaction observation on the whole bacterial**  
591 **population.** Epistatic mutation interaction observation on the whole bacterial  
592 population with the three types of mutational interactions shown with three  
593 examples of each **(A)** Discordant mutational allelic interactions, where mutational  
594 areas form different fractions of the population either red fill or grey fill, from left

595 to right (i) CIP-Lineage B (with *gyrA* Asp87Gly in grey line and fill and *gyrA*  
 596 Ser83del in red line), (ii) AMK-Lineage B (with *sbmA* Ala169Glu in grey line and  
 597 *cpxA* Trp184Arg in red line) and (iii)CHL-Lineage C (with *rob* Arg156His in grey  
 598 and *marR* Gln110\* in red line) **(B)** Super-imposing mutational allelic interactions,  
 599 where both mutations are present at the same time therefore the mutational  
 600 frequency area is purple fill as it assumed that both mutations are co-existing in the  
 601 bacterial cells, from left to right, (i)AMK -Lineage C (with *cpxA* Ala79Val in grey line  
 602 and *fusA* Ala608Val red line), (ii) CHL-CIP Linage A (with *gyrA* Ser83del in grey line  
 603 and *marR* Val84Glu red line) and (iii) CIP Lineage A (with *gyrA* Ser83del in grey  
 604 line and *soxR* Ser126\_Arg127delinsSer in red line) **(C)** Accumulating mutational  
 605 allelic interaction, where mutation arises the frequency area shown in grey fill,  
 606 then the second mutation arises in the background of the first therefore we assume  
 607 that both are present within the bacterial cells so purple frequency area fill was  
 608 used, from left to right (i)AMK -Lineage B (with *fusA* Pro610Gln in grey line and  
 609 *cpxA* Asp31Tyr in red line), (ii) CHL Linage B (with *marR* Arg86Trp in grey line  
 610 and *rob* Arg156His in red line) and (iii) CIP- Lineage A (with *gyrA* Asp87Tyr in  
 611 grey line and *gyrA* Gly75Ser in red line).

612

613 **Figure 4. Evaluation of strains based on relative growth rate and IC90 fold**  
 614 **change from ancestral wild-type, where green on the plots represent  $\geq 1$**   
 615 **relative growth rate and a IC90 fold change from wild type above  $\geq 10$  and**  
 616 **red  $\leq 0.5$  relative growth rate and a IC90 fold change from wild type above  $\leq 2$ .**

617 **(A) (i)** Recombineed strains to assess the discordant mutation allelic interactions  
618 based on mutation found in CHL-Lineage C (strains labelled accordingly on the  
619 right hand-side) **(ii)** Recombineered strains to access the superimposing mutation  
620 allelic interactions based on mutation found in CHL-CIP Linage A (strains labelled  
621 accordingly on the right hand-side).

622

## 623 **Supporting Information Legends**

624

625 **SI Table1. Primers for generation of amplicons**

626

627 **SI Table 2. Variant detection table**

628

629 **SI Table 3. Homologous recombineering oligos and verification primers**

630

631 **SI Figure 1. Coverage plots for each investigatory amplicon for AMK drug**  
632 **condition**

633

634 **SI Figure2. Coverage plots for each investigatory amplicon for CHL drug**  
635 **condition**

636

637 **SI Figure3. Coverage plots for each investigatory amplicon for CIP drug**  
638 **condition**



639

640 **SI Figure 4. Coverage plots for each investigatory amplicon for AMK-CHL drug**  
641 **condition**

642

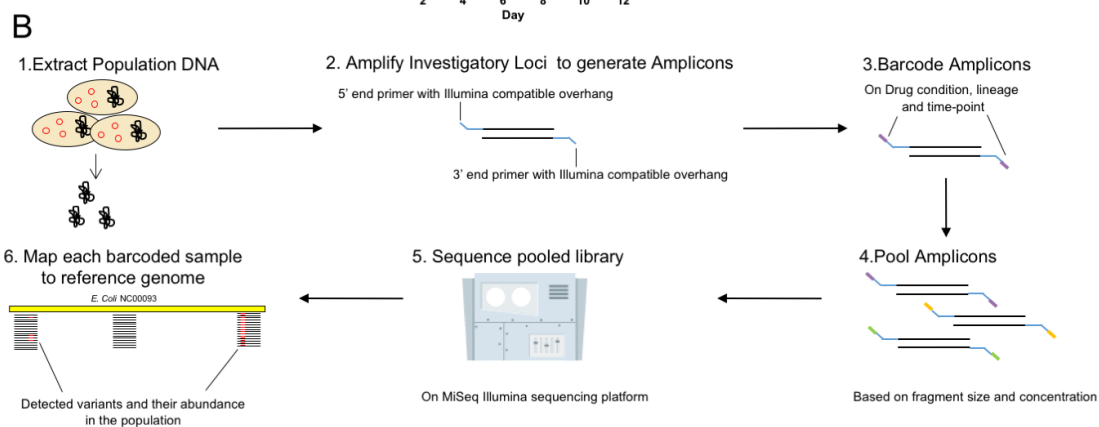
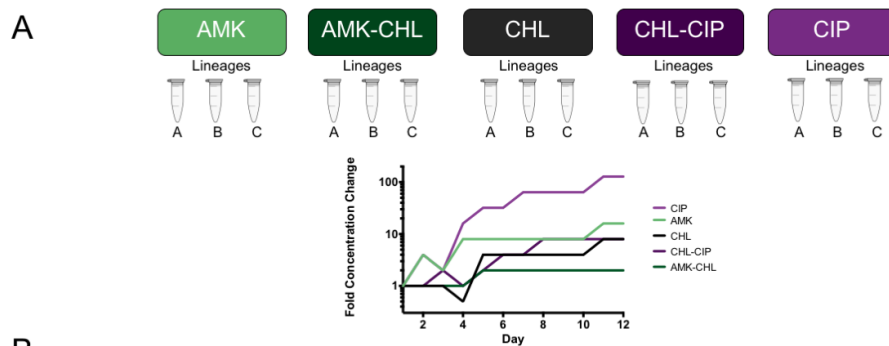
643 **SI Figure 5. Coverage plots for each investigatory amplicon for CHL-CIP drug**  
644 **condition**

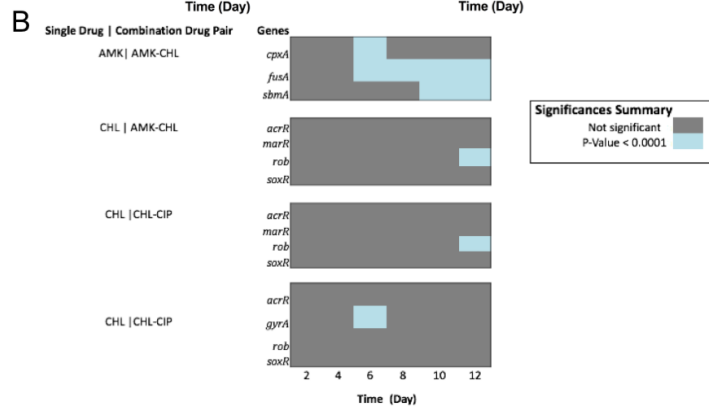
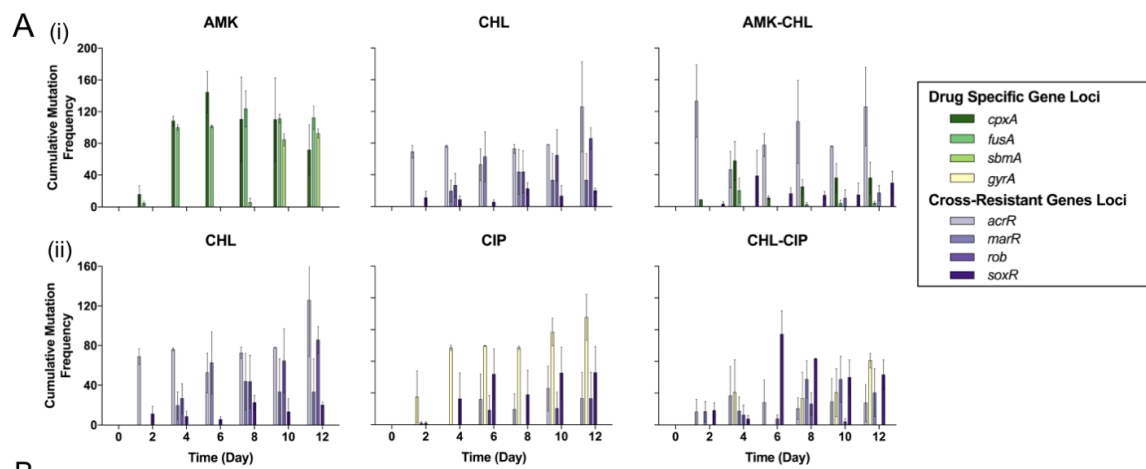
645 **SI Figure 6. Significant variants tracking for each drug condition. Where**  
646 **mutations that appear at any given time-point at 30% or more are plotted.**  
647 **Mutations listed in black appear in all three lineages, those in dark grey**  
648 **appear in two lineages and those in light grey only appear in one lineage.**

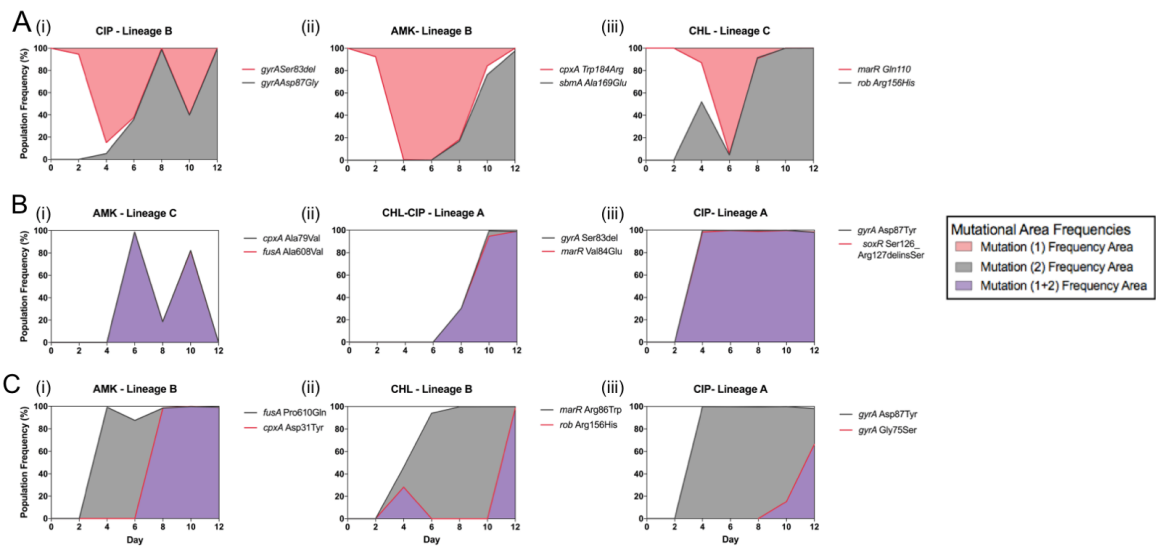
649 (i) From left to right, AMK Lineage A (featuring mutations; *cpxA* (dark green fill):  
650 Asp31Tyr circle, Trp184Arg square, *fusA* (mid-dark green fill): Pro610Gln up-  
651 triangle, Pro610Thr down-triangle; *sbmA* (lightest green fill): asp194Asn hexagon  
652 with dot), B (featuring mutations *cpxA* (dark green fill): Asp31Tyr circle,  
653 Trp184Arg square; *fusA* (mid-dark green fill): Pro610Gln up-triangle, *sbmA*  
654 (lightest green fill): Asp194Glu circle with dot) and C (featuring mutations *cpxA*  
655 (dark green fill): Asp31Tyr diamond with dot, Trp184Arg square; *fusA* (mid-dark  
656 green fill): Ala608Val, Pro610Gln up-triangle, Pro610Thr down-triangle,  
657 Thr647Ala square with diagonal cross; *sbmA* (lightest green fill): Trp250\* diamond  
658 with cross) (ii) From left to right, CHL Lineage A (featuring mutations; *rob* (mid-  
659 dark purple): Gly245Arg star), B (featuring mutations; *acrR* (light purple):  
660 Ala156Ser circle with diagonal cross; *marR* (mid-light purple): Arg86Trp square;

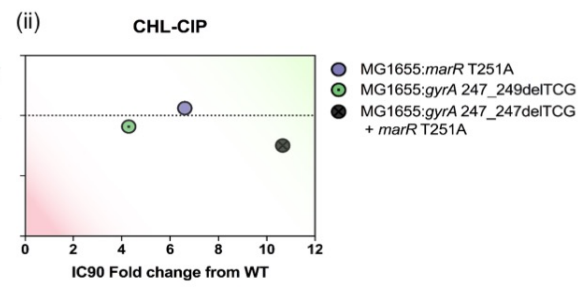
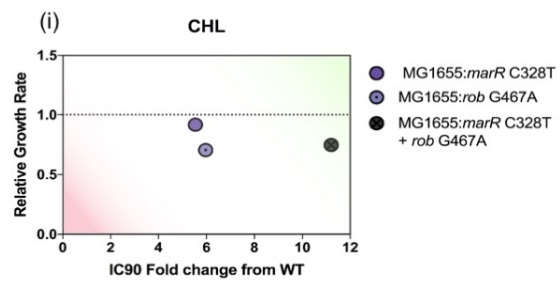
661 rob (mid-dark purple): Arg156His circle) and C (featuring mutations; *acrR* (light  
662 purple): Arg209fs hexagon; *marR* (mid-light purple): Arg86Trp square, Gln110\*  
663 down-triangle; *rob* (mid-dark purple): Arg156His circle). (iii) From left to right, CIP  
664 Lineage A (featuring mutations; *gyrA* (mid-light green): asp87Tyr circle, Gly75Ser  
665 up-triangle, ser83del square; *soxR* (dark purple): Ser126\_Arg127delinSer diamond  
666 with cross), B (featuring mutations; *gyrA* (mid-light green): asp87Gly diamond,  
667 ser83del square; *rob* (mid-dark purple): Arg156His hexagon with diagonal cross)  
668 and C (featuring mutations; *acrR* (light purple): Ser25\* hexagon; *gyrA* (mid-light  
669 green): Gly81Cys circle with diagonal cross, *soxR* (dark purple):  
670 Arg127\_Ser128delinsArg down-triangle). (iv) From left to right, AMK-CHL Lineage  
671 A (featuring mutations; *acrR* (light purple): Lys55Glu circle; *cpxA* (dark green):  
672 Ala187Thr square, Ile382\_Thr383delinMetLeu up-triangle, Ser175Cys down-  
673 triangle; *soxR* (Dark purple): Ala18Glu hexagon, glu150fs square with diagonal  
674 cross), B (A (featuring mutations; *acrR* (light purple): Asp157Asn star, Cys205Phe  
675 square, Lys55Glu circle and Met175Arg) and C (featuring *acrR* (light purple):  
676 Ala156Ser diamond with Ala156Ser, Arg209fs square with diagonal cross,  
677 Lys55Glu; *cpxA* (dark green): Ala187Thr and *soxR* (dark purple) Ala18Glu  
678 hexagon). (V) From left to right, CHL-CIP Lineage A (featuring mutations *gyrA*  
679 (mid-light green): Phe96fs circle, Ser83del square; *marR* (mid-light purple):  
680 Cys51Ser half-dark up-triangle, Val84Glu down triangle; *soxR* (dark purple):  
681 Arg20Cys square) B (featuring mutations *acrR* (light purple): Cys205delinsCysArg  
682 circle, Thr5Ala circle half dark; *gyrA* (mid-light green): Ser83del square; *soxR* (dark

683 purple): Arg20Cys square, Ser126\_Arg127delinsCys diamond) and C (featuring  
684 mutations *acrR* (light purple): Cys205delinsCysArg circle; *gyrA* (mid-light green):  
685 Ser83del square; *soxR* (dark purple): Arg20Cys square, Ser126\_Arg127delinsSer  
686 up-triangle).









Manuscript II: Direct sequencing of *Pseudomonas aeruginosa* from sputum of cystic fibrosis patients undergoing antimicrobial therapy



# Direct sequencing of *Pseudomonas aeruginosa* from sputum of cystic fibrosis patients undergoing antimicrobial therapy

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## Abstract

Cystic fibrosis (CF) patients due to inherited genomic mutations suffer from dehydrated mucous that provide the perfect matrix to support opportunistic pathogens. This is of critical concern in the lungs where infections can easily occur and debilitate lung function. Antimicrobial therapy has been good at delaying chronic lung infections but despite best efforts, patients often become chronically infected with chronic *Pseudomonas aeruginosa* in their lungs. Aggressive antimicrobial treatment helps to lower the *P. aeruginosa* bacteria population to restore better lung function, but over-time *P. aeruginosa* evolve to become antibiotic resistant and the therapy become less effective overtime. This study addresses CF patients that are inflicted with chronic *Pseudomonas aeruginosa* lung infections by directly analyzing their by directly analyzing their sputum during hospitalization for antimicrobial therapy. This is first study to directly analyze un-perturbed sputum samples in 19 known antibiotic resistance and patho-adaptive genes (*ampC*, *ampDh3*, *fusA1*, *fusA2*, *gyrA*, *gyrB*, *mexR*, *mexS*, *mexT*, *mexZ*, *nfxB*, *opr86*, *phoP*, *PhoQ*, *pmrA*, *pmrB* and *rpoB*) to understand abundance of individual mutational variants and mutational variant populations effects. By using whole gene sequencing directly on sputum samples from CF patient we yield important results regarding *P. aeruginosa* lung population, which could help optimizing antibiotic treatments and reduce antibiotic resistance development in persistence and chronically *P. aeruginosa* infected CF patients.

36

37 **Key word:** *Pseudomonas aeruginosa*, cystic fibrosis, direct whole gene population  
38 sequencing, sputum, and clonal interference

39

## 40 **Introduction**

41 Cystic fibrosis (CF) is an autosomal recessively inherited disease that affects about 7  
42 in 100,000 people in the EU countries (Farrell, 2008). It is caused by a mutation in  
43 the CFTR protein that function as a chloride channels causing viscous dehydrate  
44 mucous on muco-epithial linings, which is difficult to expel. Un-expelled mucus  
45 provides the perfect habitat for a consortium of opportunistic microorganisms  
46 (Tang et al., 2014) and consequently lung infections are the major cause of  
47 morbidity and mortality in CF patients. Therefore, it is routine for CF patients to  
48 have recurrent antimicrobial therapy to treat the lung infections and to maintain  
49 lung function (Johansen et al., 2004). Antimicrobial therapy has help to delay the  
50 development of chronic infections from infancy to early adolescents ages (Döring  
51 and Hoiby, 2004; Frederiksen et al., 1997; Valerius et al., 1991). However, CF patient  
52 often become infected with ubiquitous environmental bacterial pathogen, especially,  
53 *Pseudomonas aeruginosa*, which often becomes established as a chronic infection.

54

55 Many studies focus on the adaptive process of *P. aeruginosa* to becoming an  
56 established chronic infection (Marvig et al., 2015; Smith et al., 2006; Sommer et al.,

2016) or developing resistance against antimicrobial therapy (Feng et al., 2016; Jochumsen et al., 2016).

Here we present an *in-vivo* molecular study, following four CF patients hospitalized for antimicrobial treatment. By directly extracting the DNA from the sputum from three time-points at day 0, 7, and 14; we can observe the un-biased mutational variants that are occur within the genes by using our molecular pipeline (Figure 1). Our pipeline, unlike other proceeding studies analyzes the whole gene, by PCR amplification up and downstream of our genes of interest. With the information, we can access the amount of mutated alleles occurring at each time point and state what translational effect they cause. By understanding the population dynamics and mutational alleles that are enriched during antimicrobial therapy we can acquire knowledge about bacterial populations that can be targeted in CF patients with chronic *P. aeruginosa* infections. Future application for CF patients from this study are: deployment of antimicrobial treatment that reduced the evolution of antimicrobial resistance; and for CF patients that suffer from persistent *P. aeruginosa* infections, with the motivation how to delay chronic colonization.

## Material and methods

## 77    **Patient**

78    Our study consisted of 4 males CF patients undergoing antimicrobial treatment at  
79    the CF clinic at Rigshospitalet, Copenhagen, Denmark. The age of the patients ranged  
80    from 26 to 53 years, all were diagnosed with chronic *P. aeruginosa* infection in  
81    accordance to the Copenhagen criteria (Johansen et al., 2004). Patient's treatment at  
82    the hospital is recorded in (Table 1). Samples were stored at -20 after collection,  
83    until DNA extraction was performed.

84

## 85    **Microbiology**

86    All sputum samples were Gram-stained and microscopically evaluated and  
87    cultured aerobically on selective agar media. These media include a Sabouraud  
88    plate, a 7% NaCl plate, a *B. cepacia* plate containing colistin and gentamicin and a  
89    “blue plate” (modified Conradi Drigalski's medium) selective for Gram-negative  
90    rods and non-selective media including 5% Danish blood agar and chocolate agar.  
91    Direct plating of sputum samples on a 14-cm blood agar plate (State Serum  
92    Institute, Copenhagen, Denmark) with discs containing anti-pseudomonas  
93    antibiotics including colistin, tobramycin, meropenem, ciprofloxacin, aztreonam,  
94    piperacillin/tazobactam and ceftazidime. Susceptibility testing of the sputum  
95    samples was done by the agar diffusion method on Danish blood agar plates  
96    (Statens Serum Institut, Copenhagen, Denmark), employing Neosensitabs®  
97    (Rosco, Roskilde, Denmark)(Ciofu et al., 1996). Isolated bacteria were identified  
98    as described previously (Johansen et al., 2008) Before 2011, biochemical profiling of *P.*  
99    *aeruginosa* was based on API 20NE (bioMérieux), and from 2011 on MALDI-TOF mass  
100    spectrometry (Bruker, Germany).

101

102

103

#### 104 **DNA extraction from sputum samples**

105 All thawed sputum samples were treated with 30 $\mu$ L (1M) tris (2-carboxyethyl)  
106 phosphine, and 10 $\mu$ L proteinase K (20mg/mL) (ThermoFisher Scientific) and 1mL of  
107 DNA/RNA shield (Zymo Research) and vortexed for 30 seconds. Samples were then  
108 added to a 2mL impact resistant screw-top tube with 300mL zirconia/glassbeads  
109 with a diameter 0.1mm (Carl Roth International) and vortexed on a secure and  
110 horizontal holder at maximum speed for 5 mins. Then genomic DNA was extracted  
111 from all sputum samples by ZR –Duet RNA/DNA mini-prep kit (Zymo Research) and  
112 done in accordance to the kits recommendations.

113

#### 114 **Primer Design**

115 The genes selected were chosen based on knowledge of their involvement *de-novo*  
116 antibiotic resistance. The following genes of the *P. aeruginosa* core genome were  
117 selected for sequencing: *ampC*, *ampDh3*, *ftsI*, *fusA1*, *fusA2*, *gyrA*, *gyrB*, *mexS*, *mexR*,  
118 *mexT*, *mexZ*, *nfxB*, *opr86*, *parC*, *pmrA*, *pmrB*, *phoQ*, *phoR*, *rpoB*. Primers were designed  
119 to amplify 19 genes associated with antibiotic susceptibility (**Supplemental Fig. 1**).  
120 All primers were tested with genomic DNA from *P. aeruginosa* strains: PA01, DK2  
121 and PA14 the sequences of the 19 target genes were extracted from the  
122 Pseudomonas database (Winsor et al., 2016). PCR products were tested with PA01

123 and DK-2 DNA extracts verified by 1% agarose gel electrophoresis with GelRed  
124 (Biotium).

125

## 126 **Whole gene library preparation and sequencing**

127 All samples were amplified using the 19 primer pairs in (**Supplemental table 1**),  
128 PCRs from sample DNA extracts were done independently to each other to avoid  
129 cross contamination. Each PCR reaction was done in 0.2mL sterile PCR tubes and  
130 consists of 10  $\mu$  L Phusion High-Fidelity PCR master mix (Thermo Fischer Scientific),  
131 0.5  $\mu$ M forward primer, 0.5  $\mu$  M reverse primer, 1  $\mu$ L DNA template and H<sub>2</sub>O to a  
132 total reaction volume of 20  $\mu$ L. The PCR amplification used an initial denaturation of  
133 for 98°C 30 seconds, 30 cycles consisting of a 98°C for 10 seconds denaturation,  
134 60°C for 10 seconds annealing, 72°C for 3 min elongation, 72°C final extension for  
135 180 seconds then hold at 4°C. To validate correct amplification gel electrophoresis  
136 was performed with a 96-well 2% E-gel with SYBR safe DNA gel stain (Invitrogen)  
137 on the E-base electrophoresis device for 13 min (Invitrogen) and gel images  
138 analyzed on the E-editor 2.02 software (Invitrogen). Each sample was cleaned with  
139 1.8x Ampure bead and done in accordance an online protocol (Beckman Coulter  
140 2013). The 19 PCR reactions for each sample DNA extract were pooled together;  
141 each pooled PCR product in accordance to its original sample. 200ng of pooled DNA  
142 in a volume of 130 $\mu$ L was sheared in a Covaris focused-ultrasonicator E220, for 55  
143 seconds with a peak incidence power of 105W, duty factor 5% and cycles per burst  
144 200. Sheared pooled DNA samples were then prepared for sequencing by the Kapa

145 Hyper Plus DNA library preparation kit (Kapa Biosystems) and barcoded using LT-  
146 Truseq single-index adapter kit (Illumina Inc). Barcoded libraries were measured  
147 for DNA concentration with Qubit dsDNA HS Assay kits and measured on Qubit  
148 Fluorometer (Thermo Fischer Scientific Inc 2015) and average fragment size with  
149 Fragment analyzer (Advanced Analytical Technologies, Inc). Barcoded libraries  
150 were pooled from fragment size and DNA concentration values to form a sequencing  
151 library based. The sequencing library was sequenced on the MiSeq platform with  
152 Miseq reagent kit V3, sequence read length 2x300bp (Illumina Inc).

153

## 154 **Sequence Analysis**

155 All raw sequencing read from the gene sequencing has been deposited in the  
156 Sequence Read Archive (SRA) under bioproject accession number xxxx. Sequencing  
157 reads were mapped to the reference genome (GenBank accession AE004091) using  
158 CLC genomics workbench version 8.5.1(Qiagen). To verify sequencing coverage,  
159 mapping read files from CLC genomic workbench data was analyzed in Excel and  
160 plots designed in Prism 6 (GraphPad Software Inc). To ensure good coverage our  
161 threshold for average coverage was set at 100, samples that had low coverage were  
162 re-read until sufficient coverage was achieved (**Supplemental Fig 1-4**). Variants  
163 were detected by using the low frequency variant detection calling function in CLC  
164 genomic workbench V 8.5.1 (Qiagen), provide all the variants that had a population  
165 frequency of  $\geq 1\%$ . (**Supplemental Table 2**).

166

## 167    **Results**

168    The study consisted of 4 CF patients chronically with *P. aeruginosa* with an age  
169    range from 26 to 53 years. Each patient provided three sputum samples on day 0, 7  
170    and 14 during hospitalized antimicrobial treatment, minus one patient who was  
171    unable to provide a day 7 cough sample. Following our pipeline we extracted DNA  
172    from each of the samples and using our pipeline (Figure 1) the intrinsic  
173    antimicrobial resistance development was assessed in 19 genes that have been  
174    previously reported to confer resistance against certain classes of antibiotics. These  
175    were: for aminoglycoside (genes of interest were: *fusA1* (Marvig et al., 2013), *fusA2*  
176    (Marvig et al., 2013), and *rpoB* (Qi et al., 2014)); for peptidoglycan synthesis  
177    inhibiting classes (genes of interest were: *ampC* (Marvig et al., 2013) , *ampDh3* (Lee  
178    et al., 2013) and *ftsI* (Smith et al., 2006)); for fluoroquinolone (genes of interest  
179    were: *gyrA* (Feng et al., 2016; Marvig et al., 2013), *gyrB* (Feng et al., 2016; Marvig et  
180    al., 2013), *parC* (Feng et al., 2016) and *rpoB* (Pietsch et al., 2017)); polymixin (genes  
181    of interest were: *opr86* (Jochumsen et al., 2016), *phoP* (Smith et al., 2006) *phoQ*  
182    (Gutu et al., 2013; Moskowitz et al., 2012), *pmrA* (Moskowitz et al., 2012) and *pmrB*  
183    (Moskowitz et al., 2012; Smith et al., 2006)); and patho-adaptive genes that confer  
184    cross-resistance (genes of interest were: *mexR* (Vestergaard et al., 2016),  
185    *mexS* (Richardot et al., 2016), *mexT* (Smith et al., 2006), *mexZ* (Smith et al., 2006) and  
186    *nfxB* (Monti et al., 2013)).

187



188 Only two patients provide a day 0 and day 14 samples for antimicrobial  
189 susceptibility testing samples to the department of clinical microbiology  
190 department, these were patient CF156 and CF83 (Table 2). From the antimicrobial  
191 susceptibility testing we observed phenotypic changes before and after in both  
192 patients. For CF156 in the non-mucoid *P. aeruginosa* we saw changes in: colistin,  
193 from susceptible to resistant; imipenem, from susceptible to intermediate; and  
194 meropenem, and from intermediate to resistant. In the same patient we also saw in  
195 the mucoid *P. aeruginosa* sample a phenotypic change of imipenem from day 0 being  
196 intermediate to susceptible on day 14. Whereas for patient CF83 in the mucoid *P.*  
197 *aeruginosa* antimicrobial susceptible typing results we saw changes in:  
198 ciprofloxacin, from susceptible to intermediate; and imipenem, from susceptible to  
199 intermediate. The overall observation from these two patients is that the use  
200 antimicrobial therapy leads to induction of resistance to several antibiotics. This is  
201 not surprising as antimicrobials are well known for selecting pre-existing and *de-novo*  
202 mutants (Andersson and Hughes, 2014).

203

#### 204 **Detection of mutational variants reveal the high abundance of** 205 **mutagenic events of *P. aeruginosa* in the CF lung**

206 Following coverage analysis, where all samples had to meet the requirements of all  
207 genes having an average coverage of 100 sequencing reads per base in the open  
208 reading frame of the gene, the variant detection and analysis was performed. From  
209 our results we were able to plot an overview of all the variant types detected with a  
210 population frequency  $\geq 5\%$  for each patient and time-point (Figure 2). From these  
211 plots, we found that the maximum total individual variant detected was in

212 patient CF382 at day 0 with 514 different variants detected and the minimum  
213 individual variant detected in patient CF83 at day 0 with 143 different variants  
214 detected. Most of the detected variants were synonymous mutations, the second  
215 most abundant mutation type was non- synonymous SNPs, followed by the third  
216 most abundant mutation type being frameshift mutations and the fourth most  
217 abundant mutation type was premature stop mutations where few to no mutations  
218 were detected. Similar distribution of mutational variant types from amplicon  
219 sequenced *P. aeruginosa* isolates from the CF lung have been reported by Griepke et  
220 al. 2016.

221

222 There was also a general trend amongst three of the patients that the amount of  
223 individual mutation variants detected increased during the 14-day antimicrobial  
224 treatment. This was highest in patient CF83 where the individual mutational  
225 variants for day 0, 7 and 14 were: 143; 173; and 233 (Figure 2 (iv)). In patient  
226 CF243 the individual mutational variants detected for day 0, 7 and 14 were: 253;  
227 204; and 305 (Figure 2 (iii)). In patient CF243 the individual mutational variants  
228 detected for day 0, 7 and 14 were: 175; 156; and 201 (Figure 2 (ii)). An exception to  
229 this trend was patient CF382, where the individual mutational variants detected for  
230 day 0 and 14 were 514 and 158 (Figure 2 (i)). These results imply that when  
231 patients are receiving antimicrobial therapy the *P. aeruginosa* bacterial population  
232 in the lung experiences possibly an expansion in genomic diversity. Whether this is  
233 a direct or downstream effect of antibiotics, such as the production of reactive  
234 oxygen species (Kohanski et al., 2007) or by antibiotics inducing the quorum  
235 sensing mechanisms endogenous to *P. aeruginosa* that can have pleiotropic effects  
236 (Skindersoe et al., 2008) need to be further elucidated.

237

238 **Evaluation of non-synonymous mutations during antimicrobial**  
239 **treatment show mutational enrichment and clonal inference**  
240 **within the bacterial population**

241 We then further analyzed our data by evaluating the non-synonymous mutations,  
242 we were interested to follow the trajectories of mutations that were enriched and  
243 un-selected for during antimicrobial therapy (Figure 3). To achieve this we  
244 examined the non-synonymous mutations that were present at a least one time-  
245 point  $\geq 5\%$ . In this figure, we could see in all patients that there was a clear  
246 enrichment of mutagenic allelic variants over the treatment time period (Figure 3A  
247 (i-iv)). We were interested if these mutations had been previously detected and if  
248 there was any information regarding these mutations conferring antibiotic  
249 resistance. From the 41 non- synonymous mutations, 11 of these have been  
250 reported in literature, with 3 mutations all from patient CF382 with results  
251 confirming that these mutations confer resistance (Table 3). Our molecular pipeline  
252 detected several mutations that have not previously been reported, this could be  
253 due to our direct DNA extraction, amplification and sequencing, capturing all  
254 present variants.

255

256 From the enriched mutation variants, we could see that each patient had a unique  
257 response in the individual variants that were produced. This could be a result of the  
258 historical contingency of the *P. aeruginosa* bacteria in the lung, the clone-type they  
259 were infected with and the treatment used (Table 1). Patient CF382 is known to be

260 infected with a hypermutator strain, and was treated with drug classes:  
261 aminoglycosides, cephalosporin, fluoroquinolone and polymixins. Therefore, it was  
262 not surprising we saw enriched mutations in the following genes: *ampC*, *ampDh3*,  
263 *fusA1*, *fusA2*, *gyrA*, *gyrB*, *mexZ*, *opr86*, *phoQ*, *pmrA*, *pmrB* and *rpoB*. For CF156, the  
264 patient was treated with polymixin and fluoroquinole classes of antibiotics. This  
265 patient had enriched mutations in: *ampC*, *ampD3h*, *mexT*, *opr86*, *pmrA* and *rpoB*. The  
266 *ampC* and *ampD3h* mutations that were enriched during treatment were an anomaly  
267 we didn't expect to observe, as the *ampC* and *ampDh3* mutations we expect to confer  
268 beta-lactam, cephalosporin and carbapenem resistance. We suspect that these new  
269 mutants in *mexT*, *opr86*, *pmrA* and *rpoB* that appeared had *ampC* and *ampDh3*  
270 mutations in their genomic background, and were selected as a result of genomic  
271 hitchhiking a common phenomenon often seen in adaption laboratory evolution  
272 experiments (Elena and Lenski, 2003). Patient CF243 was treatment with  
273 aminoglycosides, carbapenem, fluoroquinolone and polymixin classes of antibiotics.  
274 The high levels of enrichment consisted in mutations in *pmrB* gene that is known to  
275 confer resistance to polymixins (Moskowitz et al., 2012; Owusu-Anim and Kwon,  
276 2012; Schurek et al., 2009) and *mexT*, which is known to confer cross-resistance by  
277 the expression of efflux pumps (Alyaseen et al., 2005). Patient CF83 was treated  
278 with aminoglycosides, cephalosporin, fluoroquinolones and polymixins. High  
279 frequency enrichment was found in *rpoB*, *mexT*, and *ftsI* and low-level enrichment in  
280 *mexS* and *gyrA*. Where the *rpoB* mutants confer aminoglycoside resistance (Qi et al.,  
281 2014) and ciprofloxacin (Pietsch et al., 2017), *ftsI* mutants confer resistance against

282 carbapenems (Cabot et al.), and *mexT* mutants provide general cross-resistance  
283 (Alyaseen et al., 2005).

284

285 We also observed strong clonal interference is exhibited in two patients, CF382 and  
286 CF83 (Figure 3A (i) and (iv), 3B (i) and (iv)), with marginal clonal interference in  
287 CF156 and CF243 (Figure 3A (ii) and (iii), 3B (i) and (iv)). In all patients, we see  
288 that there are sub-populations of bacterial mutational variants, a trait also seen by  
289 others regarding sub-populations of virulent *P. aeruginosa* in the CF lung (O'Brien et  
290 al 2017). Therefore, establishment of novel mutations seems to readily occur, but  
291 fixation in the population is a rare event, unlike *in-vitro* adaptation evolution  
292 experiments in other Gram-negative species (Wong and Seguin, 2015). This could be  
293 an artifact of spatial structural and heterogeneity in the CF lung (Folkesson et al.,  
294 2012; Markussen et al., 2014; Winstanley et al., 2016) or the short time period our  
295 study was preformed in. Thus, showing the complex bacterial population dynamics  
296 within CF patients.

297

## 298 **Discussion**

299 Within this study we use whole gene population sequencing method to study  
300 individual mutation variant events and mutagenic populations dynamics. Our  
301 approach was to extract the DNA from the patient sputum samples, amplify genes of  
302 interest and sequence with no *P. aeruginosa* isolation cultivation steps. With the

303 development and growing accessibility of next generation sequencing platforms,  
304 studies analyzing evolution in bacterial populations are growing. In particular, *P.*  
305 *aeruginosa* population sequencing following cultivation from CF patient samples  
306 (Fischer et al., 2016; Greipel et al., 2016; O'Brien et al., 2017).

307

308 In CF patients that are chronically infected with *P. aeruginosa*, we have observed the  
309 vast array of mutant clones occupy one environment, a phenomenon also described  
310 by Greiple et al 2016. Yet by following events longitudinally we can observe how  
311 these compete by clonal interference to establish the clone types that best aids  
312 population survival.

313

314 The bacterial populations we study also display similar characteristics that have  
315 been reported in-vitro adaptation studies with other Gram-negative bacterial  
316 species, such as clonal interference and multiple mutant enrichment (Fogle et al.,  
317 2008; Gerrish and Lenski, 1998; Sniegowski et al., 1997; Wong and Seguin, 2015).

318

319 Our results are important but limited therefore in future we would like to examine a  
320 larger cohort of chronically infected patient and for longer periods of time. This will  
321 allow us to examine key factors such as: which antibiotics treatment cause the  
322 highest and lowest generation of mutational variants; and by studying population

323 dynamics for longer periods of time can we capture clonal fixation events and the  
324 implications of these population fixations by *in-vitro* experimentation.

325

326 Therefore, our long-term version is to optimize antimicrobial treatment in  
327 chronically infected CF patients with *P. aeruginosa* and in patients that have  
328 persistent colonization and find strategies to delay the chronic establishment of *P.*  
329 *aeruginosa*.

330

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342

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## 513 **Figure Legends**

514 **Figure 1. Methodology workflow overview** (1) Thaw collected sputum samples,  
515 (2) Extract DNA from each sample (3) PCR all 19 genes for each DNA extract and  
516 verify PCR products (4) Shear DNA by sonication for DNA fragments ca. 600bp (5)  
517 Prepare sequencing library by kit and barcode then pool, (6) Sequence Miseq  
518 Illumina platform.

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520 **Figure 2. Overview of all variant types detected with a population frequency**  
521 **≥5% throughout treatment course for all genes combined** (i) Patient CF382, (ii)  
522 CF156, (iii) CF243 and (iv) CF83. Variant types correspond to key on the right.

523

524 **Figure 3. Enriched and unselected variant alleles throughout treatment for**  
525 **each patient (A)** Enriched variant alleles during treatment (i) Patient CF382, (ii)  
526 CF156, (iii) CF243 and (iv) CF83. variant types correspond to key on the right. **(B)**  
527 Unselected variant alleles during treatment (i) Patient CF382, (ii) CF156, (iii) CF243  
528 and (iv) CF83. Each variant is listed on the right of each plot.

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543 **Table 1.** Patient history

Patient	Daily treatment over hospitalization period	<i>P. aeruginosa</i> Clone Type	Hypermutator strain
CF382	Intravenous 3g Ceftazidime 3g x 3 Intravenous 500 mg Tobramycin x 1 Inhalation Promixin 3 mio IE x 1 Oral 750 mg Ciprofloxacin x 2 Oral 250 mg Azithromycin x 1	DK32	Yes
CF156	Oral 750 mg Ciprofloxacin x 2 Intravenous 9 mio IE Colistin x1	DK1 and DK2	No
CF243	Intravenous 9 mio IE Colistin x1 Intravenous 2g Meropenem x 2 Inhalation 240mg Ciprofloxacin x 2 Inhalation 300mg Tobramycin x 2 Oral 250 mg Azithromycin x 1	DK2	No
CF83	Inhalation Promixin 3 mio IE x 1 Intravenous 3g Ceftazidime 3g x 3 Intravenous 500mg Tobramycin x 1 Inhalation Promixin 3 mio IE x 1 Oral 750mg Ciprofloxacin x 2 Oral 250mg Azithromycin x 1	Unknown	No

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558 **Table 2.** Antimicrobial susceptibility testing of each of patient  
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Patient	Time-point	<i>P. aeruginosa</i> type	Antimicrobial	Antimicrobial susceptibility type
CF382	Day 0	Muroid	Aztreonam	R
			Ceftazidime	I
			Ciprofloxacin	S
			Colistin	S
			Imipenem	R
			Meropenem	R
			Moxifloxacin	R
			Piperacillin + Tazobactam	I
			Tobramycin	S
		Non-Muroid	Aztreonam	R
			Ceftazidime	I
			Ciprofloxacin	S
			Colistin	S
			Imipenem	R
			Meropenem	I
			Moxifloxacin	R
			Piperacillin + Tazobactam	I
			Tobramycin	S
CF156	Day 0	Muroid	Aztreonam	R
			Ceftazidime	R
			Ciprofloxacin	S
			Colistin	S
			Imipenem	I
			Meropenem	I
			Moxifloxacin	R
			Piperacillin + Tazobactam	I
			Tobramycin	S
		Non-muroid	Aztreonam	R
			Ceftazidime	R
			Ciprofloxacin	S
			Colistin	S
			Imipenem	S
			Meropenem	I
			Moxifloxacin	R
			Piperacillin + Tazobactam	R
			Tobramycin	I
	Day 14	Muroid	Aztreonam	R
			Ceftazidime	R
			Ciprofloxacin	S

			Colistin	S
			Imipenem	S
			Meropenem	I
			Moxifloxacin	R
			Piperacillin + Tazobactam	I
			Tobramycin	S
		Non-mucoid	Aztreonam	R
			Ceftazidime	R
			Ciprofloxacin	S
			Colistin	R
			Imipenem	I
			Meropenem	R
			Moxifloxacin	R
			Piperacillin + Tazobactam	R
			Tobramycin	S
CF243	Day 0	Non-mucoid	Aztreonam	R
			Ceftazidime	R
			Ciprofloxacin	R
			Colistin	S
			Imipenem	R
			Meropenem	R
			Moxifloxacin	R
			Piperacillin + Tazobactam	I
			Tobramycin	R
CF83	Day 0	Mucoid	Aztreonam	S
			Ceftazidime	S
			Ciprofloxacin	S
			Colistin	S
			Imipenem	S
			Meropenem	S
			Moxifloxacin	R
			Piperacillin + Tazobactam	S
			Tobramycin	S
	Day 14	Mucoid	Aztreonam	S
			Ceftazidime	S
			Ciprofloxacin	I
			Colistin	S
			Imipenem	I
			Meropenem	S
			Moxifloxacin	R
			Piperacillin + Tazobactam	S
			Tobramycin	S

562 **Table 3.** Enriched non-synonymous mutations that are reported in literature

Patient	Gene	Amino Acid Change	Literature	Reported MIC increase
CF382	<i>ampC</i>	Thr105Ala	(Rodríguez-Martínez et al., 2009)	Cefapime ->64 fold Cefepime ->8 fold Imipenem -> 10 fold Meropenem -> 2 fold
CF83	<i>ftsI</i>	Arg503Cys	(Cabot et al., 2016)	
CF382	<i>fusA2</i>	Gln610His	(Greipel et al., 2016)	
CF382	<i>gyrA</i>	Ala51Val	(Greipel et al., 2016)	
CF382	<i>gyrB</i>	Ser466Phe	(Bruchmann et al., 2013) (Llanes et al., 2011)	Ciprofloxacin-> 10 fold
CF382	<i>pmrA</i>	Leu71Arg	(Bezuidt et al., 2013) (Schurek et al., 2009)	
CF382	<i>pmrB</i>	Ala282Thr	(Moskowitz et al., 2012)	Colistin-> 8 fold
CF382	<i>pmrB</i>	Tyr345His	(Owusu-Anim and Kwon, 2012)	
CF83	<i>rpoB</i>	Arg1038His	(Greipel et al., 2016)	

